

# Exhibit 12

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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-----x
APPLE, INC.,                )
                             ) IPR2020-00905
    Petitioner,            ) IPR2020-00906
                             )
    vs.                    )
                             )
COREPHOTONICS, LTD.,       )
                             )
    Patent Owner.         )
-----x
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VIDEOTAPED VIDEOCONFERENCE DEPOSITION OF  
EXPERT WITNESS

JOHN C. HART, Ph.D.

April 29, 2021

9:02 a.m. (CST)

Reported By:

Mayleen Ahmed, RMR, CRR, CRC, CSR

Job No.: 1961

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## INDEX OF EXAMINATION

WITNESS: JOHN C. HART, Ph.D.

EXAMINATION PAGE

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MOTIONS TO STRIKE None

INSTRUCTIONS NOT TO ANSWER None

DOCUMENT/INFORMATION REQUESTS None

## ----- REFERENCED DOCUMENTS -----

EXHIBIT DESCRIPTION PAGE

Exhibit APPL 1001 U.S. Patent 10,225, 479 9

Exhibit APPL 1005 U.S. Patent 7,859,588, 58

Exhibit APPL 1013 "Computer Vision, 125

Algorithms and  
Applications," Szeliski

Exhibit APPL 1023 U.S. Patent 8,908,041 134

Exhibit 2001 Declaration of John C.  
Hart, Ph.d 8Exhibit 2015 Declaration of Duncan  
Moore 52

DEPOSITION OF JOHN C. HART, Ph.D. - April 29, 2021

THE VIDEOGRAPHER: We are on the record

on April 29, 2021, at approximately 9:02 a.m.

Central time for the remote video deposition of

Dr. John Hart in the matter of Apple, Inc. versus

Corephotonics Ltd., IPR No. 2020-00905 and

2020-00906.

My name is Valerie Beltran, and I am the  
videographer.Will counsel please introduce themselves  
for the record, beginning with the party noticing  
this proceeding.MS. SIVINSKI: Good morning. My name is  
Stephanie Sivinski, with Haynes and Boone, for  
Apple. And I'm joined today by my colleagues Mike  
Parsons and Bethany Love, also with Haynes and  
Boone, and then Priya Viswanath from Cooley LLP.MR. LINK: My name is Jonathan Link with  
the law firm of Russ, August & Kabat, on behalf of  
the Patent Owner, Corephotonics.

THE VIDEOGRAPHER: Thank you.

Will the court reporter please swear in  
the witness.

THE REPORTER: I'm going to ask that you

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1 please raise your right hand.

2 Do you solemnly swear under penalty of  
3 perjury that you are Dr. John Hart, and the  
4 testimony you are about to give in the matter now  
5 pending shall be the truth, the whole truth, and  
6 nothing but the truth?

7 THE WITNESS: I do.

8 THE REPORTER: Thank you.

9 -----

10 JOHN C. HART, Ph.D.

11 having been duly sworn, testified as follows:

12 -----

# EXAMINATION

14 BY MS. SIVINSKI:

15 Q. All right. Good morning, Dr. Hart.

16 How are you?

17 A. Good morning. I'm fine.

18 How are you?

19 Q. I'm good. Thanks.

20 Okay. Have you given testimony in a  
21 remote deposition before?

22 A. Yes, I have.

23 Q. Okay. So you're familiar with Zoom and  
24 the chat function for downloading exhibits and those  
25 sorts of things?

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1 A. Yes, I am.

2 Q. Where are you testifying from today?

3 A. My daughter's bedroom in Champaign,  
4 Illinois. This is where I conduct the business,  
5 including expert services. I mean, not from my  
6 daughter's bedroom but from Champaign, Illinois.

7 Q. Yeah. Understood. We're all very, very  
8 fancy these days with our -- with our office digs.  
9 Okay. Good.

10 Is there anyone else in the room with  
11 you?

12 A. No, there's not.

13 Q. Okay. And will you agree not to  
14 communicate with others, including Corephotonics'  
15 attorneys, while questions are pending?

16 A. Understood and agreed.

17 Q. Great.

18 Do you have any access to notes from  
19 where you're sitting today?

20 A. No, I do not. I mean, there are notes  
21 on my computer, but I am not accessing those notes.  
22 I will not access those notes.

23 Q. All right. Thank you.

24 Is there any reason that you cannot give  
25 truthful and accurate testimony today?

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1 A. No.

2 Q. All right. So I know that you sat for  
3 depositions before, but just so we can all be on the  
4 same page, a few rules.

5 Can you agree to answer pending  
6 questions before we take a break?

7 A. Yes.

8 Q. And if you don't understand a particular  
9 question, do you agree to let me know so I can  
10 clarify my question?

11 A. Yes.

12 Q. Do you understand you're testifying  
13 today about a Declaration that you submitted in two  
14 different IPRs?

15 A. Yes.

16 Q. And those would be IPR2020-905 and  
17 IPR2020-906?

18 A. Yes.

19 Q. And I loaded a copy of the Declaration  
20 that you submitted in those IPRs into the chat  
21 function.

22 (Exhibit 2001 introduced.)

23 BY MS. SIVINSKI:

24 Q. Does that document look like the  
25 Declaration that you submitted?

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1 A. Yes, it does.

2 Q. As you sit here today, is there anything  
3 you need to correct about that Declaration?

4 A. I think there are minor spelling errors  
5 and so on throughout, but -- but I believe the  
6 meaning and -- there's no substance -- substantive  
7 corrections I would -- I would want made at this  
8 point.

9 Q. Perfect.

10 (Exhibit APPL 1001 introduced.)

11 BY MS. SIVINSKI:

12 Q. All right. And I've also loaded a copy  
13 of U.S. Patent No. 10,225,479, and that is the  
14 patent at issue in these IPRs.

15 Do you recognize that document that I've  
16 loaded in the chat?

17 A. Yes, I do.

18 Q. And have you read that patent?

19 A. Yes, I have.

20 Q. Memorized it?

21 Okay. Is it okay if I call that the  
22 '479 patent today?

23 A. Yes. That'll be fine.

24 Q. All right. If you will turn with me to  
25 pages -- page 2 of your Declaration. I just want to

3 (Pages 6 to 9)

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1 go over some of the summaries of your opinions  
2 there.

3 Do you see on page 2 and extending  
4 through page 4 of your Declaration, a bullet-pointed  
5 list of materials?

6 A. Yes, I do.

7 Q. Are these the materials that you  
8 considered in drafting your Declaration?

9 A. Yes.

10 Q. Did you read all of these materials?

11 A. Yes. There's also item C on page 5 that  
12 I also considered. It's not materials. It's just  
13 the level and skill of a person having ordinary  
14 skill in the art.

15 Q. Understood. Thank you for that  
16 addition.

17 Is all of the analysis you performed for  
18 these IPRs reflected in your Declaration?

19 A. The opinions based on that analysis are  
20 -- are reported in my opinion -- in this  
21 Declaration.

22 Q. How many hours did you spend on your  
23 work for this Declaration?

24 A. Somewhere between 50 and 60 hours.

25 Q. And did you write your Declaration?

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1 A. Yes, I did.

2 Q. Other than your lawyers -- and I don't  
3 want to know any conversations between you and  
4 Corephotonics' lawyers -- did you talk to anyone in  
5 preparing for your deposition today?

6 A. No, I did not.

7 Q. And other than Corephotonics' lawyers,  
8 did you talk to anyone in preparing this  
9 Declaration?

10 A. I'm not sure I understand the difference  
11 between that question and the question you asked  
12 before it.

13 Q. Sure. So it might be the same answer,  
14 but with one, I was talking specifically about the  
15 preparation for your deposition. And with this  
16 second question, I'm asking more broadly about your  
17 work in this case and your preparation of your  
18 Declaration.

19 A. Oh. So I've not spoken to anybody else  
20 in the preparation for both.

21 Q. So are you aware that Dr. Moore has also  
22 submitted a declaration for the 905 and 906 IPRs?

23 A. Yes, I am.

24 Q. Okay. And would you agree with me that  
25 Dr. Moore's declaration is related to the lens

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1 design aspects of the 905 and 906 IPRs?

2 A. I don't have an opinion that I'm aware  
3 of at the moment that characterized Dr. Moore's  
4 deposition in that particular ways.

5 Is -- is there a statement in my  
6 Declaration stating that?

7 Q. Well, I -- I am planning on asking you  
8 questions today that are slightly broader than your  
9 Declaration.

10 So my question is whether you would  
11 agree with me that that's the case, whether or not  
12 you stated it in your Declaration.

13 Would you agree with me that Dr. Moore's  
14 declaration is directed towards the lens design  
15 elements of the 905 and 906 IPRs?

16 A. I'm not going to pigeonhole Dr. Moore's  
17 declaration in any way. I did refer to Dr. Moore's  
18 declaration in, for example, patents describing lens  
19 designs.

20 Q. Okay. Well, let me ask this question.

21 Do you consider yourself a lens design  
22 expert?

23 A. I wasn't asked to declare myself as a  
24 lens design expert in the -- in preparing these  
25 opinions. I have experience in lens design. I have

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1 training in optics and understand, you know, the  
2 physics of lenses, the characteristics of lenses.

3 I have not, you know, physically built  
4 any lenses. My work on lenses has been more  
5 theoretical. I'm certainly an expert in ray  
6 tracing, and ray tracing is an element of lens  
7 design.

8 So I don't believe I have an opinion in  
9 the report that claims to -- where I'm an expert in  
10 lens design, but I did understand lens design and  
11 was able to understand Dr. Moore's report.

12 Q. Okay. Would you -- do you think  
13 Dr. Moore is an expert in lens design?

14 A. Yes, I do.

15 Q. Okay. And I'm not intending to limit  
16 the scope of his declaration. I'm just trying to  
17 get a general understanding that Dr. Moore has  
18 submitted opinions about lens design in these cases.

19 Would you agree with that?

20 A. Yes, I would.

21 Q. And that your Declaration is focused  
22 more on the image processing aspects of the '479  
23 patent?

24 A. I think the opinions I offer have --  
25 have included both, but, certainly, I believe

4 (Pages 10 to 13)

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1 I've -- I've offered perhaps more opinions on -- on  
2 the other aspects than lens design.

3 Q. Are you familiar with the software  
4 that's used in connection with lens design, for  
5 example, Zemax?

6 A. Yes, I'm aware of it.

7 Q. Have you ever used it?

8 A. No.

9 Q. Did you review any Zemax files in  
10 connection with your work for the 905 and 906 IPRs?

11 A. Only by name and in -- in their  
12 reference in Dr. Moore's reports and the other  
13 documents in my materials that I considered.

14 Q. Do you know what a Zemax black box model  
15 is?

16 A. Yes.

17 Q. Can you describe what a Zemax black  
18 model is -- black box model is for me?

19 A. Yes. It describes the design of the --  
20 of the lens -- of a lens system in such a way that  
21 you can see the effects of the lens system without  
22 revealing the details of the lens system design.

23 Q. And what is your understanding of what  
24 Zemax black box models are used for?

25 A. I think in this case, a Zemax black box

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1 model was provided to demonstrate the effectiveness  
2 of an invention to somebody seeking to eventually  
3 utilize that invention without revealing the details  
4 of -- of the specific implementation.

5 Q. And this is perhaps an obvious question,  
6 but why would someone want to use a Zemax black box  
7 model in your experience?

8 A. If they would like to understand how  
9 something works, but are not yet at a stage to need  
10 to understand the details of -- of how something was  
11 built or how something was implemented, just the  
12 effects without understanding the process.

13 Q. Would someone be able to copy a lens  
14 design after reviewing just a Zemax black box model?

15 MR. LINK: Objection. Outside the scope  
16 of his declaration.

17 A. I think it's certainly possible. You  
18 know, another example of a black box might be the  
19 machine code that -- when somebody is writing a  
20 program, for example, Microsoft Word, that -- that  
21 machine code can be decompiled and  
22 reverse-engineered.

23 Reverse-engineering is a broad field  
24 that -- that works in -- in a variety of cases and a  
25 variety of implementations.

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1 So I -- I don't have an opinion that  
2 says it's impossible.

3 Q. Okay. Well, specifically with respect  
4 to the Zemax black box models that you talk about in  
5 your Declaration, would it be possible to copy a  
6 lens design from those Zemax black box models?

7 A. I don't believe I have an opinion  
8 stating that.

9 Q. Okay. Well, I'm asking you for your  
10 understanding whether that would be possible, as you  
11 sit here today.

12 A. I don't -- I don't believe I was asked  
13 to consider that. I -- I did not give an opinion  
14 that said that that was not possible, and that's the  
15 extent of my opinion.

16 Q. Can you turn with me to paragraph 133 of  
17 your Declaration.

18 Are you there? Sorry.

19 Are you there?

20 A. Yes.

21 Q. Okay. Perfect.

22 In paragraph 133, you conclude that,  
23 quote -- that:

24 "Petitioner copied the invention of the  
25 '479 patent (among other Corephotonics

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1 technologies, which Petitioner also appears  
2 to have copied) is strongly implied by the  
3 course of conduct between the parties and the  
4 timing of petitioner's announcement of their  
5 dual-aperture camera in their iPhone 7  
6 series..."

7 Do you see that portion of your  
8 Declaration?

9 A. Yes, I see that.

10 Q. Okay. Is your conclusion that Apple  
11 copied the invention of the '479 patent based on the  
12 [REDACTED] ?

13 A. I believe that sentence is saying that  
14 Petitioner's actions strongly implied that they  
15 created what appeared to be technology that copied  
16 the technology provided to them by Core --  
17 Corephotonics. I don't believe this sentence speaks  
18 to any, any one piece that -- that allowed me to  
19 form -- form that opinion over any other piece.

20 But the [REDACTED] was one of  
21 the pieces that was provided by Corephotonics to  
22 Petitioner.

23 Q. So I'm not sure I understand your  
24 answer.

25 Does the fact that Corephotonics

5 (Pages 14 to 17)



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1 provided a [REDACTED] to Apple in your mind  
2 make it more likely that -- that Apple copied the  
3 invention of the '479 patent?

4 A. So the opinion I provided in my  
5 Declaration is that Petitioner appears to have  
6 copied the -- the invention. And Petitioner  
7 appeared to have copied the invention, based on the  
8 course of conduct between the parties and the timing  
9 of Petitioner's announcement and the details of the  
10 dual-aperture camera in the iPhone 7 that was  
11 demonstrated in fall of 2016 that includes materials  
12 that Petitioner received.

13 I'm not pointing to any one piece that's  
14 responsible for the -- that strong implication that  
15 that copying happened. I am stating that, you know,  
16 the sum of that evidence strongly implies that the  
17 invention was copied because a duplicate of the  
18 invention appears to have been released in the  
19 iPhone 7.

20 Q. And I understand what the sentence of  
21 your Declaration says, but what I'm asking is: The  
22 fact that Corephotonics shared a [REDACTED]  
23 with Apple, is that some of the evidence that you  
24 conclude strongly implies that the invention was  
25 copied?

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1 specifically and form a specific opinion on that  
2 particular question.

3 Q. So if I understand what you just said,  
4 you didn't form a specific opinion whether it would  
5 be possible.

6 Did you form a specific opinion on  
7 whether Apple had actually copied Corephotonics'  
8 [REDACTED]?

9 A. I don't have an opinion stating that --  
10 tat Petitioner copied [REDACTED], based on that one  
11 piece of evidence.

12 Q. All right. We will talk more about  
13 these files in later segments, but I think we might  
14 get into confidential information. So I'm going to  
15 move away from this for now so we can sort of  
16 consolidate our confidential discussions.

17 Well, actually, sorry, one more general  
18 question.

19 Have you ever reviewed a Zemax black box  
20 model before?

21 A. No, not in detail.

22 Q. All right. So let's talk about your  
23 Declaration with respect to the 905 IPR.

24 So are you aware that Apple has  
25 submitted a proposed claim construction for the

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1 MR. LINK: Objection. Asked and  
2 answered.

3 A. And I considered all of the evidence,  
4 including the fact that that black box model was --  
5 was provided in -- in constructing my opinion in  
6 paragraph 133.

7 Q. Is it your opinion that someone could  
8 copy a lens design from a black box model?

9 MR. LINK: Objection. Asked and  
10 answered.

11 A. I don't have a specific opinion stating  
12 that. I also don't have a specific opinion stating  
13 that somebody could not do that.

14 Q. So you don't have an opinion either way  
15 about whether it would be possible to copy a lens  
16 design from a black box Zemax model, right?

17 A. I do not have an opinion because I  
18 wasn't asked in that level of detail to examine  
19 that -- that particular question in -- to form a  
20 separate opinion.

21 My opinion is that it appears that  
22 Petitioner copied the invention, and I considered  
23 all of the materials that Petitioner had. And --  
24 and so that's certainly within the realm of  
25 possibility, but I did not examine that question

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1 limitation "fused image with a point of view of the  
2 Wide camera"?

3 A. Yes.

4 Q. And I take it from your Declaration that  
5 you disagree with Apple's proposed construction for  
6 that limitation, right?

7 A. Yes. I don't believe constructions are  
8 needed for any of the terms. I found them to be  
9 quite clear in plain and ordinary understanding for  
10 a POSITA at the time.

11 Q. Have you formed any opinion about  
12 whether claim 1 of the '479 patent is obvious under  
13 Apple's claim construction?

14 A. I did not use Apple's claim construction  
15 in my analysis of any of the claims.

16 Q. So if the Board finds that Apple's  
17 construction is proper, you don't have an opinion  
18 about whether the proposed -- or the construction --  
19 or the combinations that Apple submitted render the  
20 '479 patent obvious?

21 (Witness reviewing document.)

22 A. I was seeing if there was a section of  
23 my report that had the specific text in it, but I  
24 believe if any further information becomes available  
25 on any of -- any of these materials, that I would

6 (Pages 18 to 21)

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1 want to further amend my report.

2 Q. But just to make sure that I understand  
3 the answer to my question, if the Board finds that  
4 Apple's construction is proper, you have not  
5 rendered an opinion in this Declaration about  
6 whether the combinations Apple has submitted render  
7 the '479 patent obvious, correct?

8 A. I'm not a lawyer. I believe in the  
9 pages of the -- of the declaration I provided, I  
10 only considered the plain and ordinary meanings  
11 of -- of those terms for the claims.

12 If -- if it turns out that the Board  
13 wants to recognize a different construction for  
14 those claims, then I would want to amend the report  
15 to provide further opinions, based on that analysis.

16 Q. Okay. And just to be clear, you -- when  
17 you say you considered the plain and ordinary  
18 meaning of the terms, that is not the construction  
19 that Apple proposed, right, in your -- in your  
20 opinion?

21 MR. LINK: Objection. Vague.

22 A. So at the end -- I believe it's at the  
23 end of paragraph 46.

24 "In my opinion, a POSITA would  
25 understand the term to mean 'fused image in

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1 which the positions and shapes of objects  
2 reflect the POV of the Wide camera."

3 So that's what I used for "fused image  
4 with the point of view of the Wide camera," and that  
5 disagrees with what was offered by Dr. Durand.

6 And then -- and then the plain and  
7 ordinary meaning I applied to the second  
8 construction is quite lengthy. It's summarized  
9 in -- well, paragraphs 47 through 49, because it's  
10 more of a grammatical issue.

11 So I'll just state, you know, my  
12 opinions in 47 through 49 explain the plain and  
13 ordinary meaning I understood from -- when viewed  
14 as -- by a POSITA at the time, what -- what that  
15 claim term referred to.

16 Q. Okay. So the last sentence of  
17 paragraph 46 of your Declaration is the construction  
18 that you used in rendering your opinions for this  
19 Declaration, right?

20 A. "Fused image in which the positions and  
21 shapes of objects reflect the POV of the Wide  
22 camera."

23 And the original claim construction was  
24 "fused image with the point of view of the Wide  
25 camera."

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1 So there's a few extra terms there just  
2 to clarify the plain and ordinary meaning that a  
3 POSITA would understand from that -- from that set  
4 of terms.

5 I believe that is the plain and ordinary  
6 meaning of -- of that term, but I'm providing a few  
7 extra terms there to clarify it to make sure that  
8 the Board and anybody else would understand how that  
9 should -- how that would be understood by a POSITA  
10 and how it's different than what was being offered  
11 by Dr. Durand.

12 Q. Okay. Let me ask my question again,  
13 because I don't think you quite gave an answer to  
14 it.

15 You applied the following construction  
16 when rendering the opinions in your Declaration,  
17 "fused image in which the positions and shapes of  
18 objects reflect the POV of the Wide camera,"  
19 correct?

20 A. That's correct, in that that's the  
21 understanding that I used to -- to analyze the  
22 patents. I believe that is the plain and ordinary  
23 meaning of those -- of those terms.

24 So I don't believe a construction is  
25 necessary, that that text is there to inform the

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1 Board and anybody else of my understanding -- of my  
2 opinion of what a POSITA understands those terms to  
3 mean.

4 So, yes, I used -- I used that  
5 interpretation of that, which I believe a POSITA  
6 would understand is the plain and ordinary meaning  
7 of that term.

8 Q. Okay. Thank you.

9 So I want to take a look at a portion of  
10 the specification of the '479 patent in column 5.

11 And I'm specifically looking at the  
12 second paragraph in column 5, which runs from about  
13 line 11 to line 33.

14 Do you see that paragraph?

15 A. Yes, I do.

16 Q. And in that portion of the  
17 specification, it says:

18 "If the output image retains the Wide  
19 image shape, then it has the Wide perspective  
20 POV."

21 Do you see that?

22 A. Which line are you at?

23 Q. 16. The lines in this paragraph, of  
24 course, don't match up very well to the line number,  
25 but it is around line 16.

7 (Pages 22 to 25)



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1 A. Understood. Approximate is fine. And  
2 yes, I see that:

3 "If the output image retains the Wide  
4 image shape, then it has the Wide perspective  
5 POV."

6 Where "POV" would stand for point of  
7 view.

8 Q. Okay. And then the next sentence right  
9 after that says:

10 "If it retains the Wide camera  
11 position, then it has the Wide position POV."

12 Do you see that?

13 A. Yes, I do.

14 Q. Okay. Would you agree with me that the  
15 specification is providing two components or two  
16 types of Wide POV, perspective and position, in  
17 those two sentences?

18 A. I don't believe I have an opinion. If I  
19 do, I don't have it in front of me, but I don't  
20 recall a specific opinion on those two sentence  
21 specifically -- sentences specifically.

22 The opinion I have is on the terms used  
23 in -- in the claims, for example, claim 1.

24 In claim 1:

25 "[W]herein the camera controller is

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1 further operative to output the fused image  
2 with a point of view ... of the Wide camera  
3 by mapping [the] Tele image pixels to  
4 matching pixels within the Wide image."

5 And I don't see a distinction there  
6 between "position" and "perspective." I see "point  
7 of view."

8 Q. Okay. Well, you would agree with me  
9 that the specification makes a distinction between  
10 "perspective" point of view and "position" point of  
11 view, right?

12 A. Yeah. There's two sentences there. one  
13 is speaking of position. The other is speaking of  
14 perspective.

15 Q. Okay.

16 A. Both refer -- both refer to point of  
17 view.

18 Q. Right. So, again, would you agree with  
19 me that the specification sets out two types of Wide  
20 POV, perspective and position?

21 A. I didn't provide an opinion stating  
22 that. What I provided an opinion on was how claim 1  
23 should be interpreted -- the terms of claim 1 should  
24 be interpreted.

25 The terms of claim 1 simply speak of a

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1 point of view of a Wide camera. They don't speak of  
2 any position or perspective. They speak of point of  
3 view.

4 What I see in column 5 is talking about  
5 the point of view. It's referring to both the  
6 position and the perspective of the point of view.

7 Q. Is your conclusion about what claim 1  
8 means based on the specification of the '479 patent?

9 A. Yes. And how a POSITA would understand  
10 that specification.

11 Q. Okay. Is your opinion about how claim 1  
12 -- how a POSITA would understand claim 1 based on  
13 column 5 of the specification?

14 A. It's based on the entire specification,  
15 but, certainly, column 5 was included in that  
16 analysis. In fact, I cite to it, you know, these --  
17 these specific terms, in paragraph 43 of my report.

18 Q. So do you have an understanding of how a  
19 POSITA would understand perspective POV and position  
20 POV?

21 A. Can you repeat that question? I'm  
22 sorry.

23 Q. Sure. That's okay.

24 You -- you testified earlier that you --  
25 well, let me rephrase that.

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1 I understood your testimony earlier that  
2 you didn't have an opinion specifically about  
3 perspective POV versus position POV, correct?

4 A. Well, so paragraph 45, you know:

5 "The '479 patent refers to  
6 'combination' possibilities where an output  
7 image reflects only some aspects of a given  
8 POV..."

9 But when it refers to "Wide POV,"  
10 without clarification, without talking about  
11 perspective or position, it's referring to the  
12 complete Wide POV, both perspective and position.

13 Q. Based on the text of paragraph 45 of  
14 your Declaration, would you agree with me that the  
15 specification of the '479 patent lists at least two  
16 aspects of POV, Wide perspective POV and Wide  
17 position POV?

18 A. The specification does, you know,  
19 describe Wide perspective POV and Wide position POV.  
20 I've cited to that in paragraph 45. Paragraph 45  
21 concludes, though, that when Wide POV is being used  
22 without the terms "perspective" or "position," it's  
23 referring to both.

24 Q. Okay. So your opinion is that in order  
25 to retain the Wide POV, an output image must reflect

8 (Pages 26 to 29)

Page 30

1 both the Wide perspective POV and the Wide position  
2 POV?

3 A. Yes. That's -- that's what's stated in  
4 paragraph 45.

5 Q. And what is the basis of that  
6 conclusion?

7 A. What's provided in the specification;  
8 how a POSITA would understand it. This section of  
9 the specification is talking about the -- about  
10 point of view. It's talking about the point of view  
11 for the Wide imaging system, and it's also  
12 decomposing "point of view" in terms of perspective  
13 and position.

14 And any POSITA would understand that a  
15 decomposition -- when you decompose something,  
16 you're talking about two aspects of one thing. And  
17 then if you later refer to that one thing that has  
18 previously been decomposed, analyzed as having two  
19 components, you would expect that one thing to have  
20 both components.

21 The -- the language in -- in column 5  
22 are quite clear that -- that we're talking about one  
23 thing that has two components. And when we refer to  
24 that one thing, it -- it requires those two  
25 components, "those two components" meaning the

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1 perspective component and the position component of  
2 the point of view.

3 Q. Other than the paragraph that we've been  
4 referring to in column 5, are there other specific  
5 portions of the specification that informed your  
6 view about the -- how a POSITA would understand the  
7 term "Wide POV"?

8 A. So the short answer to that is, I used  
9 the entire specification to inform my opinions about  
10 -- about the -- about the patent and the claims.

11 I believe I cited to other sections  
12 here -- you know, in paragraph 39, there's a  
13 citation to column 13 of the patent, for example.

14 (Witness reviewing document.)

15 I believe there's another citation to  
16 column 7.

17 So I think -- I think, you know,  
18 those -- those references indicate that I did  
19 consider the entire specification and certainly more  
20 than just what was in column 5.

21 Q. Understood that you considered the  
22 entire specification.

23 I guess what I'm trying to find out is:  
24 Are there other specific portions that you think  
25 reflect particularly about this "Wide POV" term?

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1 You listed column --

2 MR. LINK: Objection. Compound. Sorry.

3 MS. SIVINSKI: It's okay.

4 Q. You pointed to column 13. And are you  
5 referring to the citation that begins of --  
6 paragraph 39 of your Declaration?

7 A. Yes.

8 Q. And do you agree with me that that  
9 citation is pointing to the claim language of  
10 claim 1?

11 A. Yes.

12 Q. Okay. And then you also mentioned  
13 column 7. Are there particular line numbers that  
14 you were thinking about?

15 A. The ones that are shown here are 7 and 8  
16 and 11 through 13.

17 Q. Let's take a look at those.

18 A. And 20 through 22.

19 Q. So let's look at 7 through 8 and 11  
20 through 13.

21 How does this portion inform your  
22 understanding of the meaning of the term "Wide POV"?

23 A. So this section of the '479 refers to  
24 "field of view," "FOV," and the field of view is  
25 also something a POSITA would understand. And

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1 it's -- you know, the -- the specification is using  
2 "field of view" in a manner consistent with the  
3 POSITA's understanding at the time.

4 And the field of view is the -- you  
5 know, the angle of -- of the view as opposed to  
6 where the view is taking place, for example, the  
7 position of the view.

8 And Dr. Durand's construction -- I  
9 reproduce it in my paragraph 36 -- it's a fused  
10 image that maintains the Wide camera's field --  
11 field of view or both the Wide camera's field of  
12 view and position.

13 And in, you know, Dr. Durand's  
14 construction of just looking at the point of view as  
15 including the Wide camera's field of view, that  
16 would seem to imply that -- that "point of view" was  
17 referring to field of view only.

18 And it's quite clear in column 7 that  
19 the patent understands the difference between "point  
20 of view" and "field of view," because it refers to  
21 them separately. It uses the term "FOV" for field  
22 of view and "POV" for point of view.

23 And so if it wanted to refer to just the  
24 Wide camera's field of view, it would have referred  
25 to "Wide FOV" and not "Wide POV."

9 (Pages 30 to 33)

Page 34

1 Q. Given a particular Wide angle lens, if  
2 you take an image from that -- generate an image  
3 from that camera, the image will reflect the Wide  
4 camera's field of view, right?

5 A. You're asking if -- I'm not sure what  
6 you're asking. Can you -- can you repeat that?

7 Q. Sure.

8 A. I'm sorry.

9 Q. That's okay.

10 If I have a camera with a Wide angle  
11 lens and I take a photograph with it -- or take an  
12 image with it, okay, that image will reflect the  
13 Wide camera's field of view, right?

14 A. I mean, I have to look at the specifics  
15 of the system. The field of view -- you know, you  
16 have to look at, you know, how -- how that system  
17 projects onto a sensor and the configuration of that  
18 sensor.

19 Is there a specific case you want to  
20 look at or --

21 Q. Well, no, not right now.

22 If -- if I take the same Wide angle  
23 lens, I've taken an image with it, will that image  
24 reflect the Wide camera's point of view?

25 A. I'm not sure what you're -- what you're

Page 35

1 speaking about. You're talking about, you know,  
2 some camera I don't know. I'd have to look at the  
3 camera to -- to be able to state something that  
4 certain, with that much certainty.

5 I gave an example --

6 Q. What happens -- sorry.

7 A. -- in paragraph 44.

8 There's an example from Dr. Szeliski's  
9 book showing images taken from two different points  
10 of view that you could use to, you know, look at the  
11 difference between field of view and point of view.

12 Q. Okay. I'm asking a pretty simple  
13 question, and I don't -- I'm not sure we need to  
14 look at specific examples for it.

15 If I take an image with a Wide angle  
16 lens, doesn't that image reflect the Wide angle  
17 lens' point of view?

18 A. I'm -- yeah. I don't know. I mean,  
19 I've got some examples -- some specific examples of  
20 what "point of view" means. I'm not sure what you  
21 mean by "reflect" that camera's point of view.

22 We're tossing around terms that are, you  
23 know, very carefully analyzed in claim construction.  
24 And, you know, answering, you know, a hypothetical  
25 question about a hypothetical camera without knowing

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1 any details about that camera system, I'm not sure  
2 what you're asking.

3 If you want to ask me about a specific  
4 camera, for example, some of the examples I've  
5 provided in the report or some of the examples  
6 provided in the patents, I'm happy to speak to  
7 those. Those are what my opinions reflect.

8 I mean, I give an example in paragraph  
9 44 of a -- of a sample image recorded from a camera  
10 with a given field of view and point of view.

11 Q. How does field of view relate to the  
12 point of view of a camera?

13 A. Well, there's an example I provide in  
14 paragraph 44. There's two images, (a) and (b),  
15 reproduced from Szeliski, I believe, at page 468.  
16 And -- I mean, it's page 22 of the report, page 468  
17 of Szeliski.

18 And it shows, you know, what looks like  
19 a birdhouse in front of a scene. And these two  
20 images share the same field of view, but they have  
21 different points of view.

22 Q. Okay. The lens that captured image (a)  
23 in the excerpt from Szeliski that you're referring  
24 to had a point of view when that image was captured,  
25 right?

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1 A. Right.

2 Q. And that image reflects the camera's  
3 point of view, right?

4 A. Yes.

5 Q. Does image (a) also reflect the camera's  
6 field of view when that picture was taken?

7 A. Yes.

8 Q. Thank you.

9 So going back to column 5 of the  
10 specification of the '479 patent, I'm looking at  
11 line 23, and it says:

12 "In fused images, it is possible to  
13 register Tele image pixels to a matching  
14 pixel set within the Wide image pixels, in  
15 which case the output image will retain the  
16 Wide POV."

17 Do you see that?

18 A. Yes, I do.

19 Q. Do you agree with that statement?

20 A. Yes. I agree that the patent makes that  
21 statement.

22 Q. Well, do you agree, sir, that if you  
23 register Tele image pixels to a matching pixel set  
24 within the Wide image pixels, then the output image  
25 will retain the Wide POV?

10 (Pages 34 to 37)

Page 38

1 A. Yes. I believe that the way that a  
2 POSITA would understand the patent is referring to  
3 "retain the Wide POV" is that matching pixels -- one  
4 way is that the matching pixels within the Wide  
5 image of the pixels in the Tele image would be  
6 registered to correspond with matching pixels in the  
7 Wide image pixels.

8 Q. And the claim talks about mapping Tele  
9 image pixels to matching pixels within the Wide  
10 image, right?

11 MR. LINK: Objection. Vague.

12 A. Yes. The last line of claim 1 refers to  
13 "by mapping Tele image pixels to matching pixels  
14 within the Wide image."

15 Q. Okay. What's the relationship between  
16 the word "mapping" in claim 1 and the word  
17 "register," as used in claim 5?

18 Are those used synonymously in the art,  
19 in the industry?

20 MR. LINK: Objection. Compound.

21 A. So the specification's giving, you  
22 know -- giving a description that helps -- helps a  
23 POSITA understand what's being referred to in the  
24 claim.

25 So when reading claim 1, you know, a

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1 POSITA would understand that -- that mapping Tele  
2 image pixels to matching pixels within a Wide image  
3 could occur by that registration process, the term  
4 "register" in column 5.

5 Q. Okay. I also want to look at the last  
6 sentence of that paragraph in the specification in  
7 column 5 that we've been looking at. So that's  
8 around line 30. And it says:

9 "It is also possible to perform the  
10 registration after either sub-camera image is  
11 shifted, in which case the output image will  
12 retain the respective Wide or Tele  
13 perspective POV."

14 Do you see that?

15 A. Yes, I do.

16 Q. Based on this teaching, if you wanted to  
17 create a fused image that retained the Wide POV, you  
18 don't necessarily have to shift the Tele image  
19 pixels first, right?

20 A. I believe column 5 is giving two  
21 examples that -- that could be used.

22 Q. I don't think that answered my question.  
23 Let me ask it again.

24 If I -- if I wanted to -- let me  
25 rephrase it.

Page 40

1 Is it possible to create a fused image  
2 that retains the Wide POV without shifting pixels?

3 MR. LINK: Objection. Asked and  
4 answered.

5 (Witness reviewing document.)

6 A. Can you repeat the question?

7 Q. Is it possible to create a fused image  
8 that retains the Wide POV without shifting pixels?

9 MR. LINK: Objection. Asked and  
10 answered.

11 A. And I don't believe I have any further  
12 opinion on that. I believe I -- I looked at  
13 claim 1, whether a POSITA would understand what  
14 claim 1 said with reference to the specification.

15 I didn't look at all the possible ways  
16 that claim 1 could be satisfied, just that a POSITA  
17 would understand how claim 1 could be satisfied.

18 So I didn't -- I don't think I have an  
19 opinion on that level of detail about -- about that  
20 question. If there is one, I'm happy to clarify it,  
21 but I don't recall needing to -- to look at that  
22 level of detail to understand -- to provide a  
23 POSITA's understanding of those -- of that -- of  
24 that claim -- that claim language in column 5.

25 Q. Okay. Well, regardless of whether you

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1 have rendered an opinion in your Declaration about  
2 my question, what I'm asking: Do you have an  
3 opinion, as you sit here today, whether or not it's  
4 in your Declaration, of how a POSITA would  
5 understand this line?

6 Would a POSITA understand that you can  
7 create a fused image that retains the Wide POV  
8 without shifting pixels?

9 A. As I sit here today, I don't have an  
10 opinion on that particular line. I wasn't -- my  
11 Declaration is in response to Dr. Durand's  
12 declaration regarding the IPR.

13 This particular question wasn't posed by  
14 Dr. Durand. I didn't analyze this particular  
15 question when I was providing my opinions.

16 In order to provide an opinion on that,  
17 I'd need to spend as much time as I spent preparing  
18 this Declaration responding to Dr. Durand's  
19 questions to -- to render an opinion on that.

20 Q. Okay. Let's look at the last line of  
21 paragraph 46 of your Declaration, which is on  
22 page 23.

23 We talked earlier that this line is your  
24 description of how a POSITA would understand the  
25 claim limitation about retaining the Wide POV,

11 (Pages 38 to 41)



<p style="text-align: right;">Page 42</p> <p>1 right?</p> <p>2 A. You're talking about the line: "In my</p> <p>3 opinion, a POSITA would understand this term to mean</p> <p>4 'fused image in which ... positions and shapes of</p> <p>5 objects reflect the POV of the Wide camera'?"</p> <p>6 Q. Yes, sir. That's the --</p> <p>7 A. That's -- that's my opinion.</p> <p>8 Q. Do you have an opinion about whether or</p> <p>9 not your construction or description of this claim</p> <p>10 limitation requires shifting pixels?</p> <p>11 A. I don't -- I don't recall a specific</p> <p>12 opinion involving determining the difference between</p> <p>13 shifting pixels or other methods for -- in relation</p> <p>14 to that claim element. I don't recall Dr. Durand</p> <p>15 asking anything regarding shifting pixels in that</p> <p>16 claim element.</p> <p>17 Q. So as we have been talking about,</p> <p>18 column 5 discusses an object's shape, right?</p> <p>19 A. Is there a specific line in column 5?</p> <p>20 Q. Sure. 16:</p> <p>21 "If the output image retains the Wide</p> <p>22 image shape, then it has the Wide perspective</p> <p>23 POV."</p> <p>24 A. Yes, I see that.</p> <p>25 Q. Okay. How would you -- or would a</p>	<p style="text-align: right;">Page 43</p> <p>1 POSITA understand how to process the image, such</p> <p>2 that it retains the Wide image shape?</p> <p>3 MR. LINK: Objection. Vague.</p> <p>4 A. I believe so. Later in that paragraph</p> <p>5 in column 5, the specification is talking about</p> <p>6 registering "Wide image pixels to a matching pixel</p> <p>7 set within the Tele image pixels," and vice versa.</p> <p>8 And if --</p> <p>9 "In fused images, it is possible to</p> <p>10 register Tele image pixels to a matching</p> <p>11 pixel set within the Wide image pixels, in</p> <p>12 which case the output image will retain the</p> <p>13 Wide POV...."</p> <p>14 So I believe a POSITA would understand</p> <p>15 the term "register" there to indicate a process that</p> <p>16 -- that would preserve the Wide image POV.</p> <p>17 Q. Are you familiar with the legal doctrine</p> <p>18 that a patentee can supply its own definition in the</p> <p>19 specification for particular claim limitations?</p> <p>20 A. I believe my understanding -- I'm not a</p> <p>21 lawyer. I believe my understanding of the law is</p> <p>22 documented in -- in my Declaration, starting at</p> <p>23 paragraph 19.</p> <p>24 Q. Starting at paragraph 19, is there</p> <p>25 anything in your Declaration that you believe</p>
<p style="text-align: right;">Page 44</p> <p>1 reflects the concepts that a patentee can supply its</p> <p>2 own definition for a claim term?</p> <p>3 A. I don't see a specific element in -- at</p> <p>4 paragraph 19. Actually, what I'm talking about the</p> <p>5 level of a person of ordinary skill in the art...</p> <p>6 (Witness reviewing document.)</p> <p>7 Paragraph 14 explains that my</p> <p>8 understanding in the evaluation of this patent or</p> <p>9 any other patent:</p> <p>10 "[T]he content of a patent or printed</p> <p>11 publication prior art should be interpreted</p> <p>12 [in] the way a person of ordinary skill in</p> <p>13 the art would have interpreted the prior art</p> <p>14 as of the effective filing date of the</p> <p>15 challenged patent."</p> <p>16 And so I used that understanding of a</p> <p>17 person of ordinary skill in the art in reading the</p> <p>18 specification, including column 5.</p> <p>19 I did not see any situations where I</p> <p>20 thought the -- you know, anything beyond the</p> <p>21 understanding of a person of ordinary skill in the</p> <p>22 art applying plain and ordinary meaning to each of</p> <p>23 the terms would not have been -- I did not see any</p> <p>24 situation where that would not have been sufficient.</p> <p>25 I felt -- I felt that a person of</p>	<p style="text-align: right;">Page 45</p> <p>1 ordinary skill in the art would have understood</p> <p>2 everything stated in the specification under the</p> <p>3 plain and ordinary meaning.</p> <p>4 MS. SIVINSKI: We've been going about an</p> <p>5 hour, maybe a few minutes less, but I'm about to go</p> <p>6 into another large nodule. So it probably makes</p> <p>7 sense to take a quick five-minute, ten-minute break</p> <p>8 now.</p> <p>9 Does that work for you, Dr. Hart?</p> <p>10 THE WITNESS: That sounds good. Could</p> <p>11 we get a precise time that we're going to reconvene?</p> <p>12 MS. SIVINSKI: Sure. Let's reconvene at</p> <p>13 10:10.</p> <p>14 THE WITNESS: Thank you.</p> <p>15 THE VIDEOGRAPHER: Okay. The time is</p> <p>16 10:00 a.m., and we're going off the record.</p> <p>17 (Recess taken.)</p> <p>18 THE VIDEOGRAPHER: The time is</p> <p>19 10:12 a.m., and we're back on the record.</p> <p>20 BY MS. SIVINSKI:</p> <p>21 Q. Welcome back, Dr. Hart.</p> <p>22 Okay. So I want to focus now on</p> <p>23 ground 1 of the 905 IPR. And your discussion of</p> <p>24 that starts on page 38 of your Declaration, if</p> <p>25 that's helpful.</p>

12 (Pages 42 to 45)

Page 46

Page 47

1 A. Okay.

2 Q. So in ground 1 of the 905 IPR, Apple  
3 argues that the relevant claims are obvious over the  
4 combination of Parulski and Konno, right?

5 A. Yes. That's what I show.

6 Q. And with respect to that ground, Apple's  
7 position is that Figure 16 of Parulski discloses the  
8 dual-aperture camera that meets certain limitations  
9 of claim 1, right?

10 A. So there's a chart. Can you -- what was  
11 the question again?

12 Q. Sure. Let me read it to you.

13 With respect to ground 1 of the 905 IPR,  
14 Apple's position is that Figure 16 of Parulski  
15 discloses the dual-aperture camera that meets  
16 certain limitations of claim 1, right?

17 THE REPORTER: Counsel, we may have to  
18 do something with your microphone. It's starting to  
19 get a little bit fuzzy.

20 MS. SIVINSKI: Let's go off the record.

21 THE VIDEOGRAPHER: The time is  
22 10:14 a.m., and we're going off the record.  
23 (Recess taken.)

24 THE VIDEOGRAPHER: The time is  
25 10:16 a.m., and we're back on the record.

1 BY MS. SIVINSKI:

2 Q. Okay. Hopefully, this is better.

3 Dr. Hart, can you hear me okay?

4 A. Yes, I can.

5 Q. All right. So I'm going to repeat my  
6 last question so we can all be on the same page and  
7 get an answer to that one before we move on.

8 With respect to ground 1 of the 905 IPR,  
9 Apple's position is that Figure 16 of Parulski  
10 discloses the dual-aperture camera that meets  
11 certain limitations of claim 1, right?

12 A. I'm looking at Dr. Durand's report, the  
13 element "dual-aperture digital camera for imaging"  
14 includes, for examples, Figures 15 and Figure 16.

15 So 16 is certain -- Figure 16 is  
16 certainly -- appears in the chart of Dr. Durand's  
17 declaration with regards to that first claim element  
18 of claim 1.

19 Q. Okay. And did you analyze the Parulski  
20 reference in connection with your work in preparing  
21 your Declaration?

22 A. Yes, I did.

23 Q. Okay. And did you specifically analyze  
24 Figure 16?

25 A. Yes. I analyzed all of Parulski: all of

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1 the figures, all of the specifications.

2 Q. Okay. Does Parulski Figure 16 show a  
3 miniature lens assembly?

4 (Witness reviewing document.)

5 A. I'm not sure about the characterization  
6 of "miniature." Parulski refers to it as "two image  
7 capture stages in a single stage for a mobile phone  
8 camera."

9 Q. Are you familiar with the terminology  
10 "miniature lens assembly"?

11 A. Do you have a context in which it was  
12 used?

13 Q. Yes. Well, I don't have a specific line  
14 number of Parulski to point you to, but is -- would  
15 a POSITA be familiar with the concept of a miniature  
16 lens assembly?

17 A. I think the general answer is yes, but  
18 it would depend on the context in which it was being  
19 used.

20 Q. Okay. Is there a rule of thumb that a  
21 POSITA would apply in determining whether a  
22 particular lens assembly is considered miniature or  
23 not?

24 A. I don't recall providing an opinion on  
25 that. Again, I think all of these issues are an

1 issue of context, the setting in which the lens  
2 system is being presented, what "miniature" would be  
3 referring to.

4 Q. Okay. Well, let's talk specifically  
5 about the mobile phone application.

6 You're aware that Parulski and the '479  
7 patent deal with lens assembly for miniature --  
8 sorry, for mobile phones, correct?

9 A. Yes.

10 Q. Okay. Would you consider a lens  
11 assembly for a mobile phone to be a miniature lens  
12 assembly?

13 MR. LINK: Objection. Beyond the scope.

14 A. I don't recall using the term  
15 "miniature" or needing to use the term "miniature"  
16 in my opinions. So if there's an opinion where I've  
17 used the word "miniature," I'm happy to clarify  
18 that.

19 Otherwise, my understanding is that  
20 these lens systems are appropriate for use in a  
21 variety of contexts, including mobile phone-scale  
22 devices.

23 Q. Okay. Dr. Hart, do you understand that  
24 I'm allowed to ask you questions today that are  
25 about things that a POSITA would understand?

13 (Pages 46 to 49)



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1 A. Yes, that's my understanding.

2 Q. Okay. Would a POSITA have an  
3 understanding of what a miniature lens assembly is  
4 in the context of a mobile phone?

5 MR. LINK: Objection. Beyond the scope.

6 A. It would depend on what the term  
7 "miniature" is being used, the context of that.

8 I believe a POSITA would understand both  
9 Parulski and the '479. In referring to lens  
10 systems, the POSITA would have a good understanding  
11 of the scale of those lens systems, based on those  
12 descriptions.

13 Q. I'm not trying to make this a trick  
14 question. I'm trying to figure out whether there is  
15 a broadly understood definition of "miniature lens  
16 assembly" in this art space. And if there's not,  
17 that's fine.

18 I just want to know whether there is or  
19 not. So let me re-ask my question with that  
20 context.

21 Would a POSITA have understood any  
22 particular definition for what would constitute a  
23 "miniature lens assembly"?

24 MR. LINK: Objection. Beyond the scope.

25 A. I examined Parulski. I examined the

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1 '479. I don't recall the term "miniature" being an  
2 important term if it was used.

3 Off the top of my head, I don't recall  
4 if the term "miniature" was used. I'd have to see  
5 the context in which it was used.

6 And beyond that, I don't have an opinion  
7 on the -- on the term "miniature" or what a POSITA  
8 would understand "miniature" to mean, beyond how the  
9 term "miniature" was being used in the context of a  
10 particular example.

11 Q. Okay. Are you aware -- have you read  
12 Dr. Moore's declaration in your work in connection  
13 with these IPRs?

14 A. Yes, I have.

15 Q. Okay. And are you familiar with his  
16 discussion of miniature camera modules?

17 A. Not by memory sitting here. I don't  
18 have a specific recall of any of the -- of the  
19 sections.

20 Q. Okay. Let me put Dr. Moore's  
21 declaration in the chat. Give me one moment.

22 MS. SIVINSKI: Well, it's being  
23 difficult to locate. Let's go off the record for  
24 one minute until I can get this loaded in the chat  
25 for us.

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1 THE VIDEOGRAPHER: The time is  
2 10:24 a.m., and we're going off the record.  
3 (Recess taken.)

4 THE VIDEOGRAPHER: The time is  
5 10:27 a.m., and we're back on the record.

6 MS. SIVINSKI: Okay. There is always  
7 one document that I don't have at my fingertips.  
8 So, hopefully, we've gotten that out of our system  
9 today, and the rest will go smoothly.

10 (Exhibit 2015 introduced.)

11 BY MS. SIVINSKI:

12 Q. Okay. So I have put in the chat -- or  
13 my colleague has put in the chat Dr. Moore's  
14 declaration.

15 Do you have that available to you now,  
16 Dr. Hart?

17 A. Yes, I do.

18 Q. Okay. If you will turn with me to  
19 paragraph 39 of that declaration, which is on  
20 page 20. Let me know when you're there.

21 A. I'm there.

22 Q. Okay. And you'll see the first sentence  
23 of that paragraph says:

24 "As shown in the following table,  
25 published in 2014, a camera module using a

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1 one-half" -- sorry, "1/2.5 inch megapixel  
2 image sensor would be considered a 'miniature  
3 camera module.'"

4 Do you see that?

5 A. Yes, I do.

6 Q. Okay. Are you familiar with the context  
7 in which Dr. Moore is discussing a "miniature camera  
8 module"?

9 A. Yes. I think Dr. Moore does a really  
10 good job here of establishing that context by giving  
11 a scale.

12 Q. Okay. Do you agree with Dr. Moore that  
13 this information contained in paragraph 39 of his  
14 declaration is how a POSITA would understand the  
15 term a "camera module" -- sorry, a "miniature camera  
16 module"?

17 MR. LINK: Objection. Misstates  
18 Dr. Moore's declaration.

19 A. So the full paragraph states that this  
20 "following table" -- it gives a scale -- "would be  
21 considered a 'miniature camera module'" -- in quotes  
22 -- "as would the smaller camera modules likely to be  
23 used in Parulski's mobile phone embodiment."

24 So I don't believe Mr. Parulski is  
25 stating anything beyond that in this paragraph. And

14 (Pages 50 to 53)

1 so I take this paragraph at face value.

2 Mr. Parulski is providing an explicit context in  
3 which he is going to refer to a, quote/unquote,  
4 miniature camera module.

5 Q. Are you familiar with Table 1.1 that  
6 Dr. Moore has included on page 20 of his  
7 declaration?

8 A. Yes. I see it right in front of me.

9 Q. Okay. Using that context of Table 1.1  
10 in Dr. Moore's declaration, does Parulski Figure 16  
11 constitute a miniature camera module?

12 MR. LINK: Objection. Vague.  
13 (Witness reviewing document.)

14 A. So my opinions on this agree with --  
15 with Dr. Moore's opinions. So I don't recall  
16 specifically if -- if the Moore report is referring  
17 to Figure 16 in such a way.

18 I did not find anything objectionable in  
19 Dr. Moore's report. I agreed with Dr. Moore's  
20 report. I was able to follow Dr. Moore's report.  
21 And my opinions on -- on this would -- would match  
22 Dr. Moore's opinions on -- on this particular  
23 subject matter.

24 So I -- I don't have any opinions in  
25 addition to Dr. Moore's opinions on, specifically,

1 Figure 16.

2 Q. Okay. Would a POSITA be able to take  
3 the table included in Dr. Moore's declaration and  
4 take the disclosure of Parulski and figure out which  
5 category Parulski Figure 16 would fall in?

6 MR. LINK: Objection. Beyond the scope.  
7 Vague.

8 A. I don't have any opinions beyond what's  
9 stated in my report and Dr. Parulski's report --  
10 declarations.

11 And paragraph 39 says that "a camera  
12 module using [that scale] would be considered a  
13 'miniature camera module,' as would the smaller  
14 camera modules likely to be used in Parulski's  
15 mobile phone environment."

16 I believe Mr. Parulski is similarly --  
17 sorry. I believe Dr. Moore is similarly speaking in  
18 the -- in terms of what a POSITA would understand.

19 Q. Okay. Do you see Table 1 includes,  
20 under the "Inch Format" column, three headings that  
21 are bolded and italicized: "Miniature Camera  
22 Modules," "Digital Still Cameras," and "Film  
23 Cameras"? Correct?

24 A. Yes, I see that.

25 Q. Okay. Do you know, based on the

1 teachings of Parulski, what the image -- sorry, the  
2 inch format would be for the Parulski Figure 16?

3 MR. LINK: Objection. Beyond the scope.

4 A. I don't recall the specific opinion in  
5 my Declaration to that. And off the top of my head,  
6 I don't recall the specific declaration in  
7 Dr. Moore's opinion on that.

8 Is -- is there a specific opinion that  
9 you'd like me to elaborate on?

10 Q. I'm not asking you about opinions in  
11 your Declaration. I'm asking you what Parulski  
12 teaches.

13 And you told me earlier that you studied  
14 what Parulski taught in order to render the opinions  
15 in your Declaration, right?

16 A. That's correct.

17 Q. Okay. Do you agree with me -- or would  
18 you agree with me that Figure 16 of Parulski is  
19 intended to be used in a mobile phone application?

20 A. My opinions are in -- in the  
21 declaration. They're in response to the opinions  
22 provided by Dr. Durand. And so my opinions focused  
23 on responding to those. That was the focus of my  
24 analysis.

25 I don't recall being asked that

1 particular question by -- or being asked to respond  
2 to that particular question in Dr. Durand's  
3 opinions.

4 So I don't recall an opinion on that.  
5 And so I don't have a further opinion on that  
6 question.

7 Q. So you don't know whether Figure 16, as  
8 disclosed in Parulski, is for use in a mobile phone?  
9 (Witness reviewing document.)

10 A. I believe I answered that question  
11 already. Figure 16, as mentioned by Parulski in  
12 column 9, depicts a diagram for "a stage containing  
13 two image capture stages and a single stage for a  
14 mobile phone camera."

15 Q. So that's a yes?

16 A. Yes. Parulski describes Figure 16 for a  
17 mobile phone camera.

18 Q. Okay. Thank you.

19 Does Figure 16 of Parulski show two  
20 lenses that are next to one another?

21 MR. LINK: Objection. Vague.  
22 (Witness reviewing document.)

23 A. It shows two stages, two image capture  
24 stages. I believe those stages -- let me see.  
25 (Witness reviewing document.)

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1 They consist of two imaging stages. The  
2 imaging stages would consist of lens systems. Each  
3 lens system could consist of multiple lenses, lens  
4 elements.

5 Q. Okay. Does Figure 16 of Parulski  
6 disclose two stages that are next to each other?

7 A. Yes.

8 (Exhibit APPL 1005 introduced.)

9 BY MS. SIVINSKI:

10 Q. All right. Let's look at Figure 14.

11 Are you familiar with this figure in  
12 Parulski?

13 A. Yes.

14 Q. Would you agree with me that you can use  
15 the teachings of Figure 14 or you could implement  
16 the teachings of Figure 14 with the dual-aperture  
17 camera disclosed in Figure 16?

18 A. I was getting a phone call on my  
19 computer, which --

20 Q. No problem.

21 A. I'm also a professor at the University  
22 of --

23 THE REPORTER: I'm barely able to hear  
24 the witness now.

25 THE VIDEOGRAPHER: Yes. He sounds very

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1 low.

2 THE REPORTER: I thought it was just me.

3 THE VIDEOGRAPHER: Now we cannot hear  
4 you.

5 Should we go off the record?

6 MS. SIVINSKI: Yes, please. Thank you.

7 THE VIDEOGRAPHER: The time is  
8 10:38 a.m., and we're going off the record.

9 (Recess taken.)

10 THE VIDEOGRAPHER: The time is  
11 10:48 a.m., and we're back on the record.

12 BY MS. SIVINSKI:

13 Q. Okay. Let me re-ask you that question  
14 so we can sort of restart.

15 Would you agree with me that you can use  
16 the teachings of Parulski Figure 14 with the  
17 dual-aperture camera disclosed in Parulski  
18 Figure 16?

19 A. No. I don't agree with you on that.  
20 Off the cuff, I'd have to -- I don't recall having  
21 an opinion stating that. And I'd have to look at  
22 Parulski here to determine the platform Figure 14  
23 was in reference to.

24 Q. Okay. Well, take a moment and look at  
25 Parulski.

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1 (Witness reviewing document.)

2 A. So in looking at both the sections of  
3 Parulski referring to Figure 16 and Figure 14, I  
4 don't see Parulski showing that Figure 14 is an  
5 example of something that would be used with  
6 Figure 16.

7 I see reference of Figure 14 being used  
8 with Figure 1, and then later there's a discussion  
9 of Figure 16.

10 Q. Okay. Do you disclose any opinions in  
11 your Declaration that Figure 16 and Figure 14 of  
12 Parulski cannot be used together?

13 A. I don't recall any opinions, sitting  
14 here, in my Declaration nor in -- in the  
15 declaration -- and I don't -- I don't recall  
16 responding to anything in Dr. Durand's -- Durand's  
17 declaration indicating Figure 14 operating on the  
18 infrastructure shown in Figure 16.

19 Q. Let me re-ask my question, because I  
20 think you answered a different question than I  
21 asked.

22 Do you disclose any opinions in your  
23 Declaration that Figure 16 and Figure 16 -- sorry,  
24 Figure 16 and Figure 14 of Parulski cannot be used  
25 together?

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1 A. I think I answered it. I don't recall,  
2 sitting here, any opinion stating -- stating that,  
3 nor do I recall responding to any opinion from  
4 Dr. Durand stating that.

5 Q. Okay. So I want to take you to column  
6 28 of Parulski. Let me know when you're there.

7 A. Correct.

8 Q. And there's a paragraph that runs  
9 between lines 45 and 57. Do you see that paragraph?

10 A. Yes, I do.

11 Q. And a portion of that paragraph is  
12 describing Parulski Figure 14, correct?

13 A. Yes. Figure 14 is mentioned in that  
14 paragraph.

15 Q. And with respect to Figure 14, Parulski  
16 says in lines 53 to 54:

17 "Then the two images are combined into  
18 a modified image with a broadened depth of  
19 field."

20 Do you see that?

21 A. Yes. I see that sentence.

22 Q. Okay. What would a POSITA understand  
23 the phrase "broadened depth of field" to mean?

24 A. So the term "broadened depth of field"  
25 is further clarified by Parulski.

16 (Pages 58 to 61)

1 "The advantage is, this can be done  
2 without having to stop down the aperture of  
3 the primary lens to obtain the great --  
4 obtain the greater depth of field, which is  
5 particularly useful in low light capture  
6 where a large aperture is preferred."

7 So "broadened depth of field" means that  
8 objects at a greater range of distances from the  
9 camera would still be in focus.

10 Q. And what would a POSITA understand the  
11 phrase -- well, Parulski discloses combining two  
12 images in lines 53 to 54, right?

13 A. Yes.

14 "Then the two images are combined into  
15 a modified image with a broadened depth of  
16 field."

17 Q. Okay. How would a POSITA understand  
18 Parulski's disclosure of combining two images?  
19 (Witness reviewing document.)

20 A. It's rather vague. There is no specific  
21 algorithm provided for "combined."

22 And so I think the POSITA might look at  
23 "combined" and say picking one pixel from one image  
24 and another pixel from the other image, depending on  
25 what was -- what was being combined.

1 So a simple combination that a POSITA  
2 might look at under plain and ordinary meaning, as  
3 shown in the context of that paragraph and the  
4 context of Parulski, is simply picking pixels from  
5 either image and then replacing those -- using that  
6 pixel value in that location in the final image.

7 Q. Okay. Based on Parulski's disclosure  
8 and the state of the art, would a POSITA understand  
9 how to perform the technique that you just described  
10 in your last answer for combining two images?

11 A. I think a common way that a POSITA would  
12 have performed this is as a -- going through each  
13 pixel in the final image and deciding for each pixel  
14 if that pixel value is coming from the corresponding  
15 position in the first image or the second image.

16 Q. And would a POSITA correspond positions  
17 in the first image to positions in the second image?

18 A. That's just it. I think you'd have the  
19 X and Y coordinate of the pixel in the final image,  
20 and that would correspond to the X and Y coordinates  
21 of the pixel in the first image and the X and Y  
22 coordinates of the pixel in the second image.

23 Q. Can you use the range map to correspond  
24 X and Y coordinates of a pixel in a first image with  
25 X and Y coordinates of a pixel in a second image?

1 A. I did not provide an opinion on that  
2 that I recall sitting here with respect to that  
3 particular element. Parulski does not explicitly  
4 say that a range map should be used for that  
5 combination.

6 So I'm happy to elaborate if -- you  
7 know, if I've responded to that question in my  
8 opinions, but just sitting here, I don't see that  
9 Parulski suggests using the range map in that  
10 sentence. I don't see "range map" in that sentence  
11 or in --

12 Q. Okay. Well, beyond -- sorry. I did  
13 interrupt you. Please finish your answer. I'm  
14 sorry, Dr. Hart.

15 A. I was finished. I don't see the term  
16 "range map" used in that paragraph.

17 Q. Okay. Beyond the disclosure of  
18 Parulski, would a POSITA understand whether a range  
19 map could be used to correspond X and Y coordinates  
20 of a pixel in a first image to X and Y coordinates  
21 of a pixel in a second image?

22 MR. LINK: Objection. Beyond the scope.

23 A. I do mention that in paragraph 70 of my  
24 report.

25 "The term 'range map' never appears in

1 Parulski's disclosure of enhancing the depth  
2 of field."

3 And this is in reference to enhancing  
4 the depth of field. So it's -- the disclosure of  
5 enhancing the depth of field describes a flow  
6 diagram in Figure 14 that's different than the ones  
7 that were used to produce a range map. Those are in  
8 Figures 3 and 8.

9 And so my opinion is that it would not  
10 have been obvious to a POSITA how to modify the  
11 method shown in Figure 14 that's enhancing the depth  
12 of field to generate a -- both a range map and to  
13 autofocus the images captured by both stages.

14 So I believe the answer to your question  
15 is, I do not believe it would have been obvious to a  
16 POSITA to use a range map for this particular  
17 paragraph.

18 Q. Okay. Well, what technique would a  
19 POSITA understand to use to correspond pixels in one  
20 image to pixels in a second image?

21 A. Their position and their respective  
22 image.

23 So if you wanted a pixel at position X/Y  
24 in -- in the final image, you would have the choice  
25 between that pixel at its X/Y position in the first



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1 image or that pixel at its X and Y position in the  
2 second image at the -- where all three Xs are equal  
3 and all three Ys are equal.

4 Q. To use one image to broaden the depth of  
5 field of another image, do -- would a POSITA try to  
6 compare the objects in the first and second images?

7 MR. LINK: Objection. Vague.

8 A. I'm going by what Parulski is  
9 disclosing. I did not see any disclosure of any  
10 such analysis in Parulski, leaving a POSITA to  
11 undergo the additional experimentation needed to  
12 figure out how that would be done.

13 Q. Okay. Well, would a POSITA understand  
14 more than what's just disclosed in Parulski?

15 A. Absolutely. I mean, a POSITA possesses  
16 knowledge of -- of the entire field. Parulski is --  
17 is relying on the -- in any patent is relying on  
18 that -- that knowledge of a -- of a POSITA, but my  
19 opinions on Parulski are based on what Parulski is  
20 teaching.

21 Q. Okay. Well, I am asking you whether a  
22 POSITA who has read Parulski, but has the knowledge  
23 of a POSITA beyond Parulski, whether that POSITA  
24 would know how to use one image to broaden the depth  
25 of field of another.

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1 MR. LINK: Objection. Beyond the scope.  
2 Vague.

3 A. I think a POSITA would look -- would  
4 read the disclosure of Parulski and attempt to  
5 implement Parulski, based on the materials provided  
6 by Parulski.

7 Parulski didn't describe anything beyond  
8 just the simple combination of images here.

9 Q. Are the two images that Parulski is  
10 talking about, are those captured at different focal  
11 lengths?

12 MR. LINK: Objection. Vague.

13 A. This paragraph states that they are  
14 captured at -- at two focus positions, the first --  
15 the primary capture unit at one focus position and  
16 the secondary image capture unit at another focus  
17 position.

18 Q. Okay. How would a POSITA go about  
19 combining images taken at two different focus  
20 positions?

21 MR. LINK: Objection. Beyond the scope.

22 A. The plain and ordinary meaning of -- in  
23 this context, of the "two images are combined into a  
24 modified image," the POSITA would understand that to  
25 mean that, in the final image, a pixel at position

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1 X/Y could use either the -- a pixel at that same  
2 position in the first image or a pixel at that same  
3 position in the second image, that position meaning  
4 having the identical X and Y coordinates.

5 Q. Okay. But if two images are captured at  
6 two different focal lengths or focal positions,  
7 wouldn't the object in those two respective images  
8 appear to be different sizes in the resulting  
9 images?

10 MR. LINK: Objection. Vague.

11 (Witness reviewing document.)

12 A. I don't see specific mention of it, but  
13 I believe it's understood in Parulski that images  
14 can be resized. Let me see.

15 (Witness reviewing document.)

16 Yeah, I don't see a -- sitting here, I  
17 don't see the specific mention of it, but I believe  
18 a POSITA would understand that the two images could  
19 be -- one of the images could be cropped and resized  
20 to -- so that the -- that the extents of the image  
21 cover the same range in the image.

22 Q. Okay. But by cropping and -- so you're  
23 saying that cropping and resizing the image will  
24 allow you to map X and Y coordinates of the two  
25 images together even if the two images were

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1 initially taken with different focal positions.

2 Is that what you -- is that what you're  
3 describing?

4 A. No. I'm stating that if you have a  
5 color image and a white image -- let me see if  
6 there's a step.

7 (Witness reviewing document.)

8 So unless I've got it -- made explicit,  
9 I think what I'm recalling sitting here from  
10 Parulski is that a person of ordinary skill in the  
11 art would be able to crop and resize the Wide-angle  
12 image to -- or would understand the portion of the  
13 Wide-angle image corresponding to a similar range in  
14 the scene of the Tele image.

15 Q. But -- sorry. Are you done with your  
16 answer?

17 A. Yes.

18 Q. I don't want to cut you off.

19 A. No. Go ahead.

20 Q. Even after cropping and resizing an  
21 image, a POSITA would still have to map the first  
22 and second images together to understand the  
23 relative X/Y positions for each object in the image,  
24 correct?

25 A. You're saying map the two images

18 (Pages 66 to 69)

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1 together. What I'm describing is a process where  
2 you have two images from two different cameras with  
3 two different points of view.

4 A POSITA, based on the description in  
5 Parulski in that paragraph that we described -- and  
6 I lost my place in that paragraph -- in entering the  
7 combination of those two images, would have taken --  
8 if you're doing a combination of images, you have to  
9 have, you know, the portion of the Wide angle image  
10 that is also depicted in the -- in the Tele image  
11 that's taken from a different point of view. You  
12 would -- you'd use the portion of the Wide image  
13 corresponding to that portion of that entire Tele  
14 image, the overlapping portions, and then you'd  
15 restrict that.

16 A POSITA would understand how an image  
17 could be cropped and resized so that those two  
18 images were two depictions of the same scene from  
19 different points of view.

20 And then from that, you could then, for  
21 each pixel in your final image, pick a pixel either  
22 from the Tele image, the -- yeah, the Tele image or  
23 the resized -- the cropped and resized Wide angle  
24 image at -- at that particular X/Y position.

25 Q. How would a POSITA figure out which

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1 portions of the Tele image correspond to which  
2 portions of the Wide image?

3 A. So we're not talking about portions. I  
4 believe it would just simply be the boundaries of  
5 the image.

6 Q. Okay. So you mentioned cropping and  
7 resizing the Wide angle image, right?

8 How would a POSITA combine a Wide and  
9 Tele image if they wanted to retain the size of the  
10 Wide angle image?

11 A. They would need to figure out the  
12 portion of the Wide image corresponding to the  
13 bounds, the rectangular bounds, the edges of the  
14 Tele image. That would be a similar quadrilateral  
15 and Wide angle image.

16 And then they would proportionately move  
17 across that quadrilateral in the same coordinates,  
18 the same two-dimensional image coordinates they were  
19 using in the Tele image.

20 Q. So you're describing a way to map the  
21 entire Tele image to portions of the Wide image,  
22 right?

23 MR. LINK: Objection. Vague.

24 A. What I'm stating is what a POSITA would  
25 understand on reading Parulski and, in particular,

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1 Parulski's reference to that combination of images.

2 And I'm -- I'm understanding what a  
3 POSITA would understand, from that disclosure, that  
4 you would walk through each and every pixel of your  
5 output image. That would have an X/Y coordinate.

6 And that would correspond either to the  
7 X -- and from that -- the color value you would use  
8 for that X/Y position in your output image, that  
9 would either come from that corresponding X/Y  
10 position in the Tele image or a course -- it would  
11 come from a pixel in the Wide image at those same  
12 X/Y coordinates in a quadrilateral portion of the  
13 Wide image that corresponded to the bounding  
14 rectangle of the Tele image.

15 Q. Okay.

16 A. And the X/Y position would be  
17 proportional with respect to those rectangular  
18 coordinates.

19 Q. Would a POSITA understand that they  
20 could use mapping as a technique to correspond the  
21 portions of the Tele image to the portions of the  
22 Wide image, as you have just described?

23 A. There are a variety of techniques that  
24 could have been applied. I think a POSITA would  
25 follow what is being taught by Parulski.

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1 In that section, Parulski doesn't  
2 elaborate or point the POSITA to a more  
3 sophisticated way of performing any kind of mapping.  
4 It's simply listed as a combination. We are  
5 engineering very small parts that need to operate at  
6 a very high speed.

7 I believe a POSITA would follow the  
8 teachings and, you know, work with the most direct  
9 method possible. The most direct method possible  
10 would simply use those same X and Y coordinates in  
11 corresponding rectangles of both images.

12 Q. So when you're talking about the X and Y  
13 coordinates, are you talking about the location of  
14 the pixels or the location of the objects?

15 A. The location of the pixels in the image  
16 in the CCD, the captured image of the CCD.

17 Q. Okay.

18 A. The object would not have X and Y  
19 coordinates. The object would be in three  
20 dimensions. It could have X, Y, and Z coordinates.

21 Q. Okay.

22 A. But those would be in a themed coordinate  
23 system out in the real world. I'm speaking  
24 specifically of the X and Y pixel locations in the  
25 Tele image and in a resampled Wide angle image or in

19 (Pages 70 to 73)



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1 a course -- in a proportional quadrilateral region  
2 of the Wide angle image.

3 Q. Is there a particular portion of  
4 Parulski that you're thinking of when you're  
5 explaining that a POSITA reading Parulski would  
6 correlate X and Y pixel locations between the two  
7 images?

8 A. I don't recall seeing that specifically  
9 in Parulski. I'm not recalling -- I can't find in  
10 Parulski where that alignment happens in terms of  
11 resizing the Wide angle image, but I believe it does  
12 occur in some form or another in Parulski. And a  
13 POSITA would understand that.

14 Q. Would a POSITA understand that object  
15 identification would be a useful step in combining  
16 two images to broaden the depth of field of the  
17 first image?

18 MR. LINK: Objection. Beyond the scope.

19 A. Object identification would have been a  
20 -- an expensive operation. It would have required a  
21 lot of detail.

22 There are a number of methods that a  
23 POSITA today would have used. These methods, any of  
24 them that may have been available at the time, were  
25 not provided by Parulski. That would have greatly

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1 increased the amount of electronics, the amount of  
2 time needed to do the computation.

3 And I think a POSITA would have followed  
4 what was being taught by Parulski and to simply  
5 combine the two images in the way I mentioned it.

6 Q. Okay. Well, I didn't ask you whether  
7 object identification would have been expensive. I  
8 asked you whether a POSITA would understand whether  
9 it would be useful in combining two images to  
10 broaden the depth of field of the first.

11 So setting aside whether it would be  
12 costly, can you answer whether a POSITA would  
13 understand object identification to be useful in  
14 combining two images to broaden the depth of field  
15 of the first image?

16 MR. LINK: Objection. Asked and  
17 answered.

18 A. I believe Parulski teaches that it would  
19 not have been useful by not including that in the  
20 description, by simply referring to it as the  
21 combination.

22 If it was useful, if Parulski thought it  
23 was useful, I believe Parulski would have included  
24 it, would have referred the POSITA to how to do  
25 that. Parulski did not do that. I believe a POSITA

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1 would understand from reading Parulski that it was  
2 not useful.

3 Q. Okay. Well, we talked earlier about how  
4 a POSITA would have an understanding beyond just  
5 what Parulski teaches, right?

6 A. Yes. A POSITA would have an  
7 understanding beyond what is covered in the patent  
8 by Parulski.

9 Q. Okay. And at the relevant time period,  
10 would a POSITA have had an understanding of the  
11 technique of object identification?

12 A. I don't believe I offered an opinion on  
13 that. So I -- I did not need to res- -- you know, I  
14 don't recall anything in Dr. Durand's declaration  
15 suggesting that. I didn't -- I didn't provide an  
16 opinion on that.

17 Q. Okay. What is the relevant time period  
18 that you've been considering when you're analyzing  
19 what a POSITA would understand?

20 A. It would be a POSITA's understanding at  
21 the effective filing date, which was June 13, 2013.

22 Q. Okay. And were you a POSITA in 2013?

23 A. Yes, I was.

24 Q. Okay. Did you have an understanding  
25 about object identification as a POSITA in 2013?

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1 MR. LINK: Objection. Beyond the scope.

2 A. I think a POSITA would have been aware  
3 that it was possible. I didn't provide any opinions  
4 on any of the details of a POSITA's specific  
5 understanding of object identification. I don't  
6 recall that being asked in Dr. Durand's declaration.  
7 I didn't analyze that and provide an opinion on that  
8 question.

9 Q. As a POSITA in 2013, did you understand  
10 that object identification would have been useful in  
11 combining two images to broaden the depth of field?

12 MR. LINK: Objection. Beyond the scope.

13 A. In reading that paragraph, the context  
14 of that paragraph, Parulski as a whole, a POSITA  
15 would have understood that a simple image  
16 combination using the X and Y coordinates in the  
17 image of the two pixels in a Tele image and a  
18 appropriate -- an appropriate portion of the Wide  
19 image would have been used. Then a POSITA would  
20 have understood that that's what Parulski was  
21 teaching.

22 Q. As a POSITA in 2013, were you aware of  
23 the concept of object extraction?

24 MR. LINK: Objection. Beyond the scope.

25 A. I don't recall offering an opinion or

20 (Pages 74 to 77)

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1 that question being asked by Dr. Durand at the time.  
2 So I don't believe I offered an opinion on, you  
3 know, what techniques a POSITA would have understood  
4 in -- in that.

5 I just didn't analyze that, because it  
6 wasn't asked that. That wasn't provided by  
7 Dr. Durand. And I'd need to analyze what that  
8 understanding would have looked like in 2013. Yeah,  
9 in 2013.

10 Q. Okay. Well, I'm asking you now: Are  
11 you able to offer an opinion about whether a POSITA  
12 in 2013 would have been aware of the concept of  
13 object extraction?

14 MR. LINK: Objection. Beyond the scope.

15 A. I believe I'm offering opinions based on  
16 this IPR, the declaration of Dr. Durand. That was  
17 not one of the questions ask -- asked. And so I  
18 would need time to analyze that to -- to be able to  
19 answer that in the detail I've provided for my  
20 opinions in response to Dr. Durand's declaration.

21 Q. What else would you need to look at to  
22 determine whether a POSITA would have understood in  
23 2013 the concept of object extraction?

24 A. I'd need to respond to a particular  
25 opinion from Dr. Durand to that effect. And the

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1 details of that opinion would provide the basis for  
2 my response to that opinion.

3 I can't respond to an opinion from  
4 Dr. Durand if he hasn't made it. I wouldn't know  
5 what I would need to look at to respond to that  
6 opinion until I saw that opinion.

7 Q. So is your understanding of a POSITA's  
8 knowledge based on what Dr. Durand says in his  
9 declaration?

10 MR. LINK: Objection. Argumentative.

11 A. My understanding of a POSITA is as I've  
12 stated in the report. My understanding of -- or the  
13 Declaration. My understanding of the Declaration  
14 and my opinions in this Declaration are, they are in  
15 response to Dr. Durand's opinions. And -- and those  
16 are the ones that I analyzed very carefully and  
17 provided opinions on.

18 Q. Okay. Can you go to column 20, line 50  
19 through 67 of Parulski?

20 A. What were those lines again?

21 Q. 50 through 65. Basically, everything  
22 after Table 1 in column 20.

23 A. Got you.

24 Q. So do you see in -- well, do you see a  
25 lettered list (a) through (e) in that portion of

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1 Parulski?

2 A. Yes, I do.

3 Q. Okay. And do you see a teaching about  
4 object identification in lettered item (a) in that  
5 portion of the Parulski specification?

6 A. Yes, I do.

7 Q. Okay. And do you see a teaching about  
8 object extraction in lettered item (b) in column 20  
9 of Parulski?

10 A. I see that:

11 "The range map is then used to modify  
12 the captured image signal of the output image  
13 for a variety of purposes, such as ... :

14 "to enable object extraction from an  
15 image by identifying the continuous  
16 boundaries of the object so it can be  
17 segmented within the image."

18 Q. Okay. And what date was Parulski  
19 published?

20 A. The date of the patent is December 28,  
21 2010.

22 Q. Does the information in column 20 help  
23 you understand whether a POSITA in 2013 would  
24 understand the concept of object identification and  
25 object extraction?

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1 A. In the context offered in column 20,  
2 sure.

3 Q. Can a range map be used to fuse images?

4 A. I don't recall if I pointed to a  
5 specific example of a range map being used to fuse  
6 images.

7 Q. Okay. But do you know whether a range  
8 map can be used to fuse images, whether or not you  
9 pointed to a specific example of that in your  
10 Declaration?

11 A. Are you --

12 MR. LINK: Objection. Vague. Beyond  
13 the scope.

14 A. Are you asking today? Are you asking  
15 when?

16 Q. Well, let's start with today.

17 A. A range map can be used today as a -- as  
18 a -- as one data item that could be used in an  
19 algorithm for combining images.

20 Q. Okay. In 2013, would a POSITA have  
21 understood that a range map could be used to fuse  
22 images?

23 A. I don't recall if that question was  
24 asked by Dr. Durand or if I responded specifically  
25 to that question in my Declaration. I don't -- I'd

21 (Pages 78 to 81)

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1 have to see the specific example to know what I was  
2 discussing to be able to answer that question.

3 Q. Well, again, we talked about what a  
4 POSITA would understand, and you have rendered  
5 opinions about what a POSITA would understand,  
6 right, at that -- in 2013?

7 A. (No response.)

8 Q. Correct?

9 A. Yeah. My analysis of what a POSITA  
10 would understand are in reference to the opinions  
11 and -- and the direct declarations of Dr. Durand and  
12 in my own report and with respect to these patents.

13 And so that requires analysis and  
14 examining the context of the questions, the systems  
15 involved. And -- and then I can look at the  
16 specifics of what a POSITA would have understood at  
17 the time.

18 Q. Okay. Well, given the teachings of  
19 Parulski about a range map, would a POSITA have  
20 understood that a range map could be used to fuse  
21 two images in 2013?

22 A. I'd have to see an example of what  
23 you're referring to as fusing two images. I'd have  
24 to see the specifics of the example that you're  
25 talking about.

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1 Q. Do you understand what it means to fuse  
2 two images?

3 A. Are you referring to the term "image  
4 fusion," as used in the '479?

5 Q. Well, is "image fusion" a term of art in  
6 your field, Dr. Hart?

7 A. I think a POSITA reading '479 would  
8 understand what "image fusion" referred to, as  
9 described in the '479 patent.

10 Q. Okay. In the context of the  
11 '479 patent, fusion means combining pixels from two  
12 images to form an output image, right?

13 A. We just walked through claim  
14 constructions for elements of "image fusion." So I  
15 think we ought to be very careful about what we're  
16 referring to as "image fusion."

17 And so I would not offer a further claim  
18 construction of "image fusion." I think a POSITA  
19 understands when the '479 -- '479 refers to "image  
20 fusion," I think the '479 provides a -- you know, a  
21 good characterization of what that means.

22 Q. Okay. Which portion of the '479  
23 specification do you think provides a good  
24 disclosure of what "image fusion" means?

25 A. It's described, for example, in the

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1 bottom of column 7 in the section on "Still Mode  
2 Operation/Function."

3 "[I]mage processing that fuses the Wide  
4 and ... Tele images to achieve optical zoom,  
5 improve[d]" -- "improves SNR and provides Wide  
6 dynamic range" is one example where they're  
7 referring to -- where the '479 is referring to  
8 "fusion."

9 Q. Okay. In that portion of the '479 that  
10 you just read from, does "fusion" mean combining  
11 pixels from two images?

12 A. Well, when you say "combining pixels  
13 from two images," I described very carefully what  
14 "combining pixels from two images" referred to in  
15 Parulski. I don't believe that's what's being  
16 referred to as "image fusion" in the '479.

17 Q. Okay. With respect to Parulski, you  
18 used the word "correspond." Is that the same as  
19 "combining," in your mind?

20 A. It's -- it depends on the context. What  
21 I was referring to the combination in Parulski --  
22 and I'm talking about "corresponding" -- I'm talking  
23 about the same X and Y coordinates in the image  
24 between the Tele image and a cor- -- and a  
25 corresponding quadrilateral region of the Wide angle

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1 lens where the X and Y are proportional with respect  
2 to that rectangle -- that quadrilateral in the Wide  
3 image.

4 Q. Okay. Well, with respect to the '479,  
5 what does combining pixels mean?

6 A. Can you show me where "combining" is  
7 being used? I'd need to see the context of how  
8 "combining" was being used in the '479.

9 Q. Okay. In the context of column 7 that  
10 you just read, you told me that that's talking about  
11 fusion, right?

12 A. Yes. It refers to "image fusion."

13 Q. What would a POSITA understand after  
14 reading that portion of the specification about what  
15 "fusion" means in this context?

16 A. In that particular quote that the image  
17 fusion -- "the image processing that fuses the Wide  
18 and Tele images achieve[s]" -- "to achieve optical  
19 zoom, improve [signal-to-noise ratio] and provides  
20 [a] wide dynamic range."

21 So --

22 Q. If -- sorry. Go ahead.

23 A. I think it would improve optical zoom,  
24 SNR, and dynamic range.

25 Q. I'm not really asking you what it is

22 (Pages 82 to 85)

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1 used for. I guess I'm trying to find out how -- how  
2 you would do image fusion, as taught by the '479  
3 patent.

4 A. So image fusion is further elaborated in  
5 Figure 3 and the remainder of column 7 into column 8  
6 and column 9 to discuss some of the details of -- of  
7 image fusion. There are further details on image  
8 fusion elsewhere in the -- in the patent as well.

9 MR. LINK: Counsel, we've been going  
10 about an hour 10, hour and 15 minutes. So when you  
11 have time for a break, that would be great.

12 MS. SIVINSKI: Okay.

13 BY MS. SIVINSKI:

14 Q. So you mentioned that with respect to  
15 Parulski, you were describing combined images in  
16 that context to be mapping the X/Y position of a  
17 pixel in one image to the X/Y position of a pixel in  
18 another image, right?

19 A. When I was speaking about Parulski,  
20 those X and Y values were identical; so the mapping  
21 would be the identity mapping.

22 An X/Y position in the final image would  
23 correspond to the exact same X value and Y value in  
24 the Tele image and would correspond to an X and Y  
25 value in a sub-rectangle, sub-quadrilateral of the

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1 Wide angle image.

2 Q. And your position is that fusing images,  
3 as discussed in the '479 patent, requires something  
4 more than that, right?

5 A. Yes.

6 Q. But fusion, with respect to the '479  
7 patent, requires using pixels from one image and  
8 putting those pixels into the other image, right?

9 MR. LINK: Objection. Vague.

10 A. I believe the 479 talks about an output  
11 image. So that's a third image. You're not taking  
12 pixels from one of the -- from the Tele image and  
13 placing them in the Wide image or vice versa.  
14 You're creating a -- an output image.

15 Q. Does the output image, as described in  
16 the '479 patent, contain pixels from both the  
17 original Wide image and the original Tele image?

18 A. I'm not sure what you mean by "pixel,"  
19 does it contain a pixel.

20 What I was describing in Parulski,  
21 the -- that combination in Parulski, the pixel  
22 locations were identical. The X/Y coordinate in one  
23 corresponded to the X and Y coordinate in the Tele  
24 image or the corresponding X and Y coordinate where  
25 X and Y are equal to each other in that portion of

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1 the Wide angle image.

2 I don't -- that's not the case with --  
3 that doesn't suffice for what is described as "image  
4 fusion" in the '479. So you're using language I've  
5 used to refer to a combination in Parulski that  
6 doesn't apply using those same terms and that same  
7 context when looking at image fusion in the '479.

8 Q. I think you misunderstood my question if  
9 you thought I was referring to Parulski. I am  
10 talking about just image fusion, as it is taught in  
11 the '479 patent. I am not talking about Parulski.

12 So with that context, let me re-ask my  
13 question.

14 The '479 patent describes an output  
15 image, right?

16 A. Yes.

17 Q. And the output image is a combination of  
18 both the Wide image and the original Tele image or a  
19 fusion of those images, right?

20 A. The '479 talks about the fusion of those  
21 two images.

22 Q. Okay. And the fused image is the output  
23 image that we've been talking about, right?

24 A. Yes.

25 Q. Okay. That fused output image is going

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1 to have portions of the Wide image and portions of  
2 the Tele image, right?

3 A. I'm not sure what you're referring to as  
4 "portions." I believe you're using the data from  
5 the Tele image and the Wide image to produce the  
6 fused output image.

7 Q. Okay. So you'll agree with me that if  
8 we use the word "data" -- you like that word better.

9 Okay. So let me re-ask my question  
10 using the word "data."

11 Does a fused output image, as described  
12 in the '479, have data from the Wide image and data  
13 from the Tele image?

14 A. So it is not a matter of personal  
15 preference on these terms. These are terms  
16 describing very specific methods in -- in the '479.

17 When you say "have data," the data is  
18 used to form the output image. The output image has  
19 its own data. There's -- the output image consists  
20 of data for that output image.

21 The input image consists of data for  
22 both the Tele image and the Wide angle image. The  
23 data that's in the Tele image and the Wide angle  
24 image is used in forming the data on the output  
25 image, but it is not like you're taking a bite here

23 (Pages 86 to 89)



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1 and putting it over here. You know, the values may  
2 be different. The data values themselves may be  
3 different.

4 So it is not a manual operation of  
5 taking something from one location and putting it  
6 someplace else. These are computations.

7 So you take data at the beginning as an  
8 input to the computation. You perform the  
9 computation, and you get output data. And that  
10 output data in this case is used for the fused  
11 image.

12 Q. In order to generate a fused image, you  
13 need both data from a Tele image and data from a  
14 Wide image, right?

15 A. That's what the '479 indicates for image  
16 fusion, yes. It operates on a Wide image and a Tele  
17 image.

18 Q. Thank you for answering my question.

19 MS. SIVINSKI: Okay. I think we can  
20 take a break now. Let's go off the record.

21 THE VIDEOGRAPHER: The time is  
22 11:40 a.m., and we're going off the record.  
23 (Recess taken.)

24 THE VIDEOGRAPHER: The time is  
25 12:02 p.m., and we're back on the record.

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1 BY MS. SIVINSKI:

2 Q. Okay. Welcome back, Dr. Hart.

3 So we have been talking about what  
4 "fusion" means in the context of the '479 patent.  
5 And I want to look at a particular portion of the  
6 '479 specification in column 9, the description of  
7 Figure 5, which starts at line 39.

8 A. Did you say column 9?

9 Q. Yes.

10 A. Okay.

11 Q. And do you see the paragraph that starts  
12 describing Figure 5 at line 39?

13 A. Yes.

14 Q. Okay. Are you familiar with Figure 5 of  
15 the '479 patent?

16 A. Yes.

17 Q. Okay. So that paragraph describes, on  
18 line 47, it says:

19 "In registration step 506, mapping  
20 between the Wide and the Tele aligned images  
21 is performed to produce a registration  
22 map..."

23 Do you see that?

24 A. Yes, I see that.

25 Q. Okay. And what does it mean to have

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1 produced a registration map?

2 A. A registration map would be the  
3 location -- you know, for a given image, say the  
4 Tele image, it would be the location in the --  
5 let's -- yeah, let's -- let's do the -- from the  
6 Wide to the Tele.

7 So for each pixel in -- in the Wide  
8 image, for -- in a portion of the Wide image, it  
9 would tell you where that corresponding picture --  
10 pixel was located in the Tele image.

11 Q. Okay. With respect to Parulski, earlier  
12 you said you were mapping the X/Y coordinates of one  
13 pixel to the X/Y coordinates of the other.

14 Is this the same concept or a different  
15 concept?

16 A. Well, the term "map" is being used in  
17 two different -- is referring to two different  
18 approaches. In Parulski, the term there is  
19 "combination" of two images. And following what  
20 Parulski is describing there, the map would simply  
21 be an identity. The X and Y coordinate of the two  
22 pixels would be identical.

23 And in Parulski -- let me find Parulski  
24 here. So Parulski talks about taking one of the  
25 images and cropping and up-sampling it before being

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1 used.

2 And so after that cropping and  
3 up-sampling, so that the image as a whole was -- you  
4 know, the quadrilateral portion of one image was  
5 corresponding to the entirety of the other image,  
6 quadrilateral in the Wide angle image was  
7 corresponding to the entirety of the Tele image.  
8 After that cropping and up-sampling process, then  
9 the pixels would be identical if you're just simply  
10 doing a simple combining.

11 So -- so the map that's described in --  
12 in the '479 is not the identity. They're talking  
13 about a registration map constructed using image  
14 rectification.

15 Q. So then it says further down that you --  
16 in resampling step 508, you resampled the Tele image  
17 according to the registration map.

18 Do you see that?

19 A. Hang on a second. I'm hearing some --  
20 hold on one second.

21 THE VIDEOGRAPHER: Do you want to go off  
22 the record?

23 THE WITNESS: Yes. Off the record.

24 THE VIDEOGRAPHER: The time is -- the  
25 time is 12:07 p.m., and we're going off the record.

24 (Pages 90 to 93)

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(Recess taken.)

THE VIDEOGRAPHER: The time is 12:08 p.m., and we're back on the record.  
BY MS. SIVINSKI:

Q. Okay. So the next step that this paragraph describes is resampling step 508, where you generate a resampled Tele image, right?

A. I see that -- that line, yes.

Q. And then the next step is decision step 510, right?

A. I see that step, yes.

Q. Okay. And then you have fusion step 512, right?

(Witness reviewing document.)

A. Yes, I see that step.

Q. Okay. So at line 54, column 9, the '479 patent teaches that:

"In more detail, in step 510, the re-sampled Tele image is compared with the Wide image data and if the comparison detects significant dissimilarities, an error is indicated. In this case, the Wide pixel values are chosen to be used in the output image."

Do you see that?

A. Yes, I do.

Q. Okay. What happens if an error is not detected?

(Witness reviewing document.)

A. It depends on what operation is being performed. So it would depend. It's -- it's -- that's simply stating that the Wide pixel values are being used if -- if there seems to be an error in that registration step. Then by default, it would use the Wide pixel data instead of the Tele pixel data.

Q. Okay. Well, if there's no error, then it generates a fusion image, right?

A. Right. Either way, it will generate a fusion image. It's going to use the Wide pixel values if that -- if it detects an error due to significant dissimilarities.

Q. Well, will the fusion image contain pixel values from both the Wide image and the Tele image?

A. Yes. It will use pixel values in the determination of the -- it will -- it will -- it will look at the pixel values from both images in determining the pixel values of the output image.

Q. Okay. Well, so if there's an error,

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then it will use Wide pixel values in the output image, right?

A. Yes, that's what it says.

Q. Okay. But you can also generate a fused image that will contain Wide -- that uses Wide pixel values and Tele pixel -- Tele pixel values, correct?

A. Yes, that's what it says.

Q. So I want to look at a portion of your Declaration. If you will turn with me to paragraph 63. Let me know when you're there.

A. Okay. Paragraph 63.

Q. Okay. So you're talking about the range map disclosed by Parulski here, right?

A. Yes.

Q. Okay. And you say in the last sentence on page 33:

"The first three examples all involve identifying object boundaries or motion tracking of objects, which does not have anything to do with fusion, per se."

Do you see that?

A. Yes, I do.

Q. Okay. What do you mean by "per se" in paragraph 63 of your Declaration?

A. So those first three items, (a) through

(c), at the bottom of column 20, there's a list in Parulski. The first one is -- this sentence says:

"The range map is then used to modify the captured image signal or the output image for a variety of purposes, such as ... to improve object identification ... to enable object extraction ... [or] to enable motion tracking."

Those three features do not represent a combination of two images. They're operations that you would perform on a single image. You would identify an object in a single image; you would figure out the continuous boundaries of an object in a single image; you would enable motion tracking of objects within multiple images by identifying that boundary of the object between images.

And those -- motion means that the object is moving. So this would be subsequent images from a single sensor. This would not be the fusion of two images from -- taken simultaneously to do motion tracking. You're tracking the motion of something that's moving through time.

Q. Why would a POSITA want to enable object extraction?

MR. LINK: Objection. Beyond the scope.

25 (Pages 94 to 97)



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1 A. I didn't render an opinion on that. I  
2 don't believe I was responding to any opinion  
3 regarding that particular question posed by  
4 Dr. Durand in his declaration.

5 Q. Okay. But I'm asking you now. Can you  
6 answer my question?

7 MR. LINK: Same objection.

8 A. I'm responding to the opinions in my  
9 Declaration. I don't have any additional opinions  
10 beyond that. I wasn't asked to do that in order to  
11 investigate, you know, why a POSITA would want to  
12 look at Parulski to do object identification. I'd  
13 need to sit down and analyze the same effort and  
14 time that it took to respond to Dr. Durand's  
15 opinions.

16 Q. Would a POSITA in 2013 be familiar with  
17 the process of object extraction?

18 MR. LINK: Objection. Outside the  
19 scope.

20 A. I believe a POSITA would be able to  
21 understand Parulski, as -- as provided here, and  
22 that would include understanding what Parulski was  
23 describing in terms of object identification, object  
24 extraction, and motion tracking.

25 Q. Would a POSITA in 2013 have any

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1 additional knowledge, other than Parulski's  
2 disclosure from 2007, about the concept of object  
3 extraction?

4 MR. LINK: Objection. Outside the  
5 scope.

6 A. Perhaps. I didn't do an analysis of a  
7 POSITA's knowledge of object identification, object  
8 extraction, or motion tracking. For these purposes,  
9 I focused my analysis on the opinions of Dr. Durand  
10 and his declaration, and they didn't really dive  
11 into that question.

12 Q. Okay. Would a POSITA in 2013 -- well,  
13 let me rephrase that question.

14 Would you agree with me that producing a  
15 range map was a well-known technique in the art in  
16 2013?

17 A. What was the -- I'm sorry. What was --  
18 was there a question there? I didn't parse that as  
19 a question.

20 Q. Would you agree with me that producing a  
21 range map was a well-known technique in the art in  
22 2013?

23 A. I believe so. I think it would be in  
24 the background information of both patents, but I  
25 believe so.

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1 Q. Okay. Well, let's look specifically at  
2 column 19 of Parulski at line 53. It says:  
3 "Methods to produce a rangemap are well  
4 known to those skilled in the art...."

5 Do you see that?

6 A. Yep.

7 Q. Do you agree with that?

8 A. Yep.

9 Q. And Parulski is from 2007, right?

10 A. Well, it's published in 2010. I guess  
11 it was filed in 2007.

12 Q. If Parulski is stating that methods to  
13 produce a range map are well known to those skilled  
14 in the art in 2007, would you also agree that  
15 methods for producing a range map are well known to  
16 those skilled in the art in 2013?

17 A. I believe that methods to produce a  
18 range map would be well known to one skilled in the  
19 art in 2013.

20 Q. Okay. Thank you.

21 So let's look at paragraph 70 of your  
22 Declaration, which is on page 38.

23 A. Okay.

24 Q. Let me know when you're there.

25 And the last sentence in paragraph 70

Page 101

1 says:

2 "It would not be obvious to a POSITA  
3 how to modify the method shown in Figure 14  
4 to generate both a range map and to autofocus  
5 the images captured by both stages."

6 Do you see that?

7 A. Yes, I do.

8 Q. Do you agree with me that there is no  
9 citation after that sentence in your Declaration?

10 A. Well, that sentence is preceded with a  
11 sentence stating that:

12 "The term 'range map' never appears in  
13 Parulski's disclosure of enhancing the depth  
14 of field at [column] 22...."

15 So there's a citation there.

16 And this statement that "it would not be  
17 obvious to a POSITA how to modify the method shown  
18 in Figure 14 to generate both a range map and to  
19 autofocus the images captured by both stages," that  
20 does not contain a citation because it's not in  
21 Parulski.

22 Parulski does not provide something for  
23 me to cite that shows how -- how to modify the  
24 method shown in Figure 14 to generate both a range  
25 map and to autofocus the images.

26 (Pages 98 to 101)

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1 Q. Okay. So let's -- okay. What are you  
2 basing your conclusion on in that sentence?

3 A. Parulski and how a POSITA would read and  
4 understand Parulski.

5 Q. Okay. And are you concluding -- I'm  
6 sorry.

7 Are you opining about what a POSITA  
8 would know after reading Parulski in 2013?

9 A. Yes.

10 Q. Okay.

11 A. All of my references to a POSITA are  
12 with reference to the -- to the priority date of the  
13 '479.

14 Q. Okay. So let's go back to column 19 of  
15 Parulski. Let me know when you're there.

16 A. Okay. I have column 19.

17 Q. And the last paragraph of that column,  
18 we have discussed already. It starts with  
19 "Figure 11."

20 Do you see that?

21 A. Yes, I do.

22 Q. Does this portion of the specification  
23 talk about generating a range map in the context of  
24 autofocus images?

25 A. Well, that paragraph extends into column

Page 103

1 20. I don't believe autofocus is mentioned in this  
2 paragraph. This paragraph is referring to  
3 Figure 11.

4 Q. Right. So at the top of column 20; it  
5 says:

6 "Referring now to Figure 11, in block  
7 440, a first autofocus image is captured with  
8 the lower focal length image capture stage.  
9 And, in block 442, the autofocus image from  
10 the image capture stage in the lower zoom  
11 position is cropped and unsampled..."

12 And then it continues.

13 Do you see that.

14 A. Yes, I do.

15 Q. So Parulski is discussing the use of a  
16 range map in the context of autofocus images, right?

17 A. No.

18 Q. So would you agree with me that Parulski  
19 is discussing Figure 11 in the context of autofocus  
20 images?

21 (Witness reviewing document.)

22 A. Have to be careful about references with  
23 autofocusing. So that sentence in paragraph 70, I  
24 say:

25 "It would not be obvious to a POSITA

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1 how to modify the method shown in Figure 14  
2 to generate both a range map and to autofocus  
3 the images captured [at] both stages."

4 And that's after the -- you know,  
5 preceding that, in that same paragraph, I say:

6 "[The] disclosure of enhancing the  
7 depth of field describes a different flow  
8 diagram (Figure 14) than the ones capable of  
9 producing a range map (Figures 3 and 8)."

10 So there I'm discussing a range map.

11 And it's "not obvious to a POSITA how to modify the  
12 method shown in Figure 14 to generate both a range  
13 map," as shown in Figures 3 and 8, "and to autofocus  
14 the images captured at both stages."

15 In Figure 11 that this paragraph is  
16 referring to, it's talking about autofocusing, and  
17 then it's producing a map showing the distances to  
18 different portions of the images, but it doesn't say  
19 how that autofocus is being determined.

20 Q. I want you to listen to my question,  
21 because I did not ask that.

22 Figure 11 teaches capturing autofocus  
23 images?

24 A. Yes. Box 440 and 448 capture a pair of  
25 autofocus images.

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1 Q. Okay. And then step 484 teaches  
2 producing a range map, right?

3 A. Yes.

4 Q. So what specifically would a POSITA need  
5 to know in order to modify Figure 14 to use a range  
6 map?

7 (Witness reviewing document.)

8 A. So Figure 14 does not disclose a range  
9 map. So it's unclear where in Figure 14 in this --  
10 in this invention that Parulski is very carefully  
11 diagramming for us in Figure 14, where is the  
12 construction of the range map.

13 Q. Okay. But you say in your Declaration  
14 that a POSITA wouldn't know how to use a range map  
15 in the context of autofocus images, right?

16 That's the specific portion of Figure 14  
17 that you think a POSITA would not understand in --  
18 with respect to a range map?

19 A. So let's see. Figure 11, box 440 and  
20 448 are capturing autofocus images.

21 In Figure 11, you know, 504 and 524 are  
22 capturing images for autofocus.

23 And then Figure 11, there's a step that  
24 lowers the -- that crops and up-samples one image so  
25 it could be used with the other image.

27 (Pages 102 to 105)

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1 And then the two paths merge. And we  
2 correlate and we convert pixel offsets to distances  
3 and produce the range map.

4 Where does that occur in Figure 14?

5 Q. My question to you, sir, is: What else  
6 would a POSITA need to know in order to understand  
7 where you could insert the step of producing a range  
8 map in Figure 14?

9 A. One thing a POSITA would need to -- a  
10 POSITA would need to know is, where does it go?

11 Do I do this before? Do I do this while  
12 I'm zooming? Is it going to eat up battery life  
13 constructing a range map every time I'm zooming, or  
14 is it happening after the -- you know, after I've  
15 clicked?

16 How do I recompute if the zoom position  
17 is greater than or less than X?

18 Does it happen before or after steps  
19 504/524, 506/526, 510/530, 512/532, 514/534?

20 Is it pre-computed somehow?

21 Can I use the same range map if -- if I  
22 let go of the button and then rehit it again?

23 Q. Wouldn't a POSITA consider all of the  
24 steps of Figure 14 and their knowledge of how  
25 zooming works and battery life works and be able to

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1 figure out where in those steps you could best place  
2 creating a range map?

3 A. Well, Parulski doesn't teach any of --  
4 any -- anything there that would help the -- the  
5 POSITA understand how to do that.

6 I think it would require extensive  
7 further investigation, experimentation. I think,  
8 you know, that's what gives you a new invention,  
9 such as the '479.

10 Q. Okay. So we -- but you agree with me  
11 that range mapping was well known at the time of  
12 Parulski, right?

13 A. The concept of a range map and -- yeah,  
14 and creating a range map was well known at the time  
15 of Parulski.

16 Q. Okay. And a POSITA's knowledge in 2013  
17 is not limited to what is taught in Parulski,  
18 correct?

19 A. Correct.

20 Q. Okay. But it is your opinion that a  
21 POSITA would not understand where to place the range  
22 map in Figure 14 so as to not use up bandwidth?

23 MR. LINK: Objection. Misstates the  
24 testimony.

25 (Witness reviewing document.)

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1 A. I was just looking at the criteria for  
2 obviousness.

3 My -- my opinions are in response to  
4 Dr. Durand's opinions. And Dr. Durand suggests, you  
5 know, that -- that these things would have been  
6 obvious. I think they would have -- what you  
7 described there, for example, would have required  
8 extensive further experimentation for a POSITA to  
9 determine what would be suitable for, for example,  
10 the mobile phone deployment, as -- as described in  
11 Parulski.

12 Parulski did not provide any advice on  
13 how to do all of these things simultaneously. He  
14 was very careful in showing embodiments that focused  
15 on -- on specific things, and that combination was  
16 just not one of the many embodiments that Parulski  
17 provided.

18 Parulski is not teaching that. I think  
19 my understanding of a POSITA in 2013 in this -- in  
20 this kind of mobile world, where you're limited on  
21 power, on computation, you're very careful about the  
22 order and, you know, trying to limit computations to  
23 where they're used most effectively.

24 I think a POSITA would have really  
25 benefited from that advice from Parulski. Parulski

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1 didn't provide it. I think the POSITA would have  
2 had to do significant experimentation to find out  
3 how to incorporate the range map into Figure 14.

4 Q. Okay. Well, in order to create a range  
5 map of two images, you would create the range map  
6 after you capture the two images, right?

7 A. That's right. You would need two images  
8 to create the range map.

9 Q. Okay. So that --

10 A. The POSITA would have understood that.

11 Q. Okay. So then in step, for example, 510  
12 and 512, you are capturing two images, right?

13 A. In Figure 14?

14 Q. Yes.

15 A. Steps 510 and 512?

16 Q. Yes.

17 A. Okay. Yes.

18 Q. Okay. Could a POSITA have tried  
19 inserting the step of creating a range map after  
20 capturing those two images?

21 A. I didn't create an opinion on that. I  
22 think that that would have been an experiment. It's  
23 possible. I don't know what the result of that  
24 would have been. I don't know how long the range  
25 map procedure takes.

28 (Pages 106 to 109)

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1 Does that mean when you press the button  
2 and take your picture, you have to wait seconds for  
3 the range map to be generated?

4 You know, what happens in those  
5 situations, you know, depends on a lot of issues.  
6 And so I don't know when the appropriate place is.  
7 I don't think a POSITA knows -- would have known  
8 then or now where the appropriate place to compute  
9 the range map would have been in -- in the system.

10 Q. Okay. Well, we already agreed that it  
11 has to be after capturing the images, right?

12 Isn't there only one place to insert a  
13 potential range map step after capturing the two  
14 still images in steps 510 and 512?

15 A. Well, you're also capturing images in  
16 step 504.

17 Q. Okay. But if I want to generate a range  
18 map that maps together the images I took in 510 and  
19 512, there's only one place for me to insert the  
20 range map step, right?

21 (Witness reviewing document.)

22 A. Figure 11 refers specifically to  
23 autofocus images.

24 Q. But I thought you said --

25 A. So what you're --

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1 Q. Go ahead.

2 A. What you're describing doesn't -- you  
3 know, doesn't really align with Figure 11.

4 Q. But you said in paragraph 70 of your  
5 Declaration that it would not be obvious for a  
6 POSITA how to modify the method of generating a  
7 range map and autofocus images, but now you're  
8 saying that Figure 11 teaches generating a range map  
9 with the context of autofocus images.

10 So I'm unclear about what your opinion  
11 is about what a POSITA would know.

12 Can you clarify for me?

13 A. Yeah. That sentence in paragraph 70 is  
14 in reference to the preceding sentences in  
15 paragraph 70.

16 "The term 'range map' never appears in  
17 [the] disclosure of enhancing depth of  
18 field."

19 That refers to Figure 14. And that's  
20 different "than the ones capable of producing a  
21 range map (Figures 3 and 8)."

22 Figure 11 shows how to create a range  
23 map.

24 "It would not be obvious to a POSITA  
25 how to modify the method shown in Figure 14

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1 to generate both a range map and [an] auto"  
2 -- "and to autofocus the images captured by  
3 both stages."

4 Figure 11 is not Figure 14.

5 Q. I understand that.

6 You understand that in the context of  
7 obviousness, the disclosures do not need to be in  
8 one figure, right?

9 A. I don't believe my -- any of my opinions  
10 on obviousness have required a single figure to show  
11 something.

12 Q. Okay. But your opinion is that because  
13 Figure 14 does not disclose the concept of a range  
14 map, a POSITA wouldn't know how to use a range map  
15 in Figure 14, right?

16 A. What I'm saying is that it would not be  
17 obvious to a POSITA how to modify Figure 14 to  
18 generate both a range map and to autofocus the  
19 images captured by both stages.

20 You offered one solution. There's  
21 multiple solutions that -- that could have done  
22 that. I think it would have required extensive  
23 experimentation in order to figure that out beyond  
24 what -- what I would consider to be obvious.

25 Q. Okay. Well, let's focus on the

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1 left-hand side of Figure 14.

2 So in step 502, we've decided that  
3 the imposition is greater than -- is not greater  
4 than X. So we're sort of traveling down the  
5 left-hand column of this flow diagram. Okay?

6 A. Okay.

7 Q. Where are the possible places that a  
8 POSITA could insert the step of creating a range  
9 map?

10 MR. LINK: Objection. Beyond the scope.  
11 Incomplete hypothetical.

12 A. In any place. It could be inserted  
13 anywhere. We -- I don't know.

14 Q. Well, we've already -- we've already  
15 decided that it has to be after you capture images,  
16 right?

17 A. Right. And step 504, the very first  
18 step, and then step 506 -- no. I'm sorry. Step  
19 504, the very first step after the left-hand side of  
20 the diagram you're pointing to, captures two images.

21 Q. Okay. So it would have to be after step  
22 504?

23 A. Yes. You would need two images, and  
24 step 504 captures two images, yes.

25 Q. Okay. So at most, there is one, two,

29 (Pages 110 to 113)



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1 three, four, five arrows in the steps succeeding  
2 step 504, right?

3 A. No. Because there's a loop. Is the  
4 zoom button pressed? Yes or no. If the zoom button  
5 is pressed, then you've got additional arrows from  
6 the iteration of steps 502, 504, 506, and 508.

7 Q. Okay. So if you assume that the zoom  
8 button is not pressed, there are one, two, three,  
9 four, five places you could insert a range map,  
10 right?

11 A. No. I think -- you know, these are --  
12 it's a block diagram. This block diagram doesn't  
13 refer to range map. It's referring to enhancing the  
14 depth of field of the primary image.

15 And so the -- what's being disclosed  
16 in -- in steps 504, 506, 508, 510, 512, 514 refers  
17 specifically to that task. It is not referring to  
18 the use of a range map. I don't know that the  
19 integration of a range map needs to happen between  
20 these steps or is somehow included within any of  
21 these steps.

22 Q. What is the experimentation a POSITA  
23 would have to do to try to use -- to try to generate  
24 a range map in the method of Figure 14?

25 A. Parulski doesn't give me enough

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1 information to -- to know that. That's exactly the  
2 point of paragraph 70, is that there is not enough  
3 information here for a POSITA to incorporate a range  
4 map into Figure 14, based on what Parulski provides.  
5 And in order to do so would require significant  
6 experimentation and might just result in another  
7 invention and patent.

8 Q. Well, my question is: What  
9 experimentation would be required?

10 So you're concluding that it would take  
11 undue experimentation to put a range map in the --  
12 into Figure 14.

13 What experimentation would be required?

14 MR. LINK: Objection. Asked and  
15 answered.

16 A. There is no formula for this. This  
17 is -- this is why these are inventions. I can't --  
18 I don't have an opinion that -- that goes into the  
19 specifics of what would need to be -- what would  
20 need to happen in order to incorporate a range map  
21 into Figure 14.

22 My opinion states that, you know, what  
23 a -- what a POSITA would need to do would be  
24 extensive and well beyond what would be considered  
25 obvious.

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1 Q. So it would be extensive, but you're not  
2 sure what it would be, correct?

3 A. I was responding to Dr. Durand  
4 suggesting that it was -- that the combination of  
5 Parulski with other art made these steps obvious.

6 I am -- my opinion is that it would not  
7 be obvious. I didn't go into the detail of what  
8 a -- you know, I did not insert the range map into  
9 Figure 14.

10 I just showed that it would not be  
11 obvious. It would require significant further  
12 experimentation. I didn't -- I didn't need to give  
13 the specific steps of how one would do that. I  
14 think I successfully showed that -- you know, that  
15 is not obvious.

16 It's -- even sitting here now as we  
17 discuss this, we haven't figured out where it would  
18 go. I couldn't imagine a POSITA being able to do  
19 that in any form that would be considered obvious.

20 Q. How do you know the experimentation  
21 would be substantial or significant, to use your  
22 term, if you don't know what the experimentation  
23 involves?

24 A. I'm using the criteria for obviousness  
25 and the same criteria for experimentation. I've

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1 written my own patents. I've published my own  
2 papers. I've overseen students doing the same.  
3 Each of them has, you know, contributed to the state  
4 of the art.

5 And I've, you know, from that  
6 experience, weighed what -- and have a good sense  
7 of, you know, which things would -- would meet the  
8 criteria for obviousness versus which would require  
9 extensive experimentation and would be beyond what I  
10 would consider to be obvious to a POSITA at the  
11 time.

12 Q. Would the algorithm for generating a  
13 range map be well known to a POSITA in 2013?

14 MR. LINK: Objection. Vague.

15 A. I believe I've already indicated yes.  
16 You know, constructing a range map was -- was  
17 already identified as being well known by Parulski.

18 Q. Okay. And the way you would implement a  
19 range map is through an algorithm, right?

20 A. There's any number of ways of  
21 constructing a range map. You know, I would need to  
22 see the particular platform, whether this is  
23 implemented as a program or whether it's implemented  
24 in hardware through some instructions, hard coded in  
25 RAM, fed to a processor or through some ELECTRIC --

30 (Pages 114 to 117)

Page 118

1 electrical VLSI assembly.

2 I mean, there's any number of ways that  
3 this could be constructed.

4 Q. Okay. So let's specifically talk about  
5 the infinite "dog and mountain" example that  
6 Parulski discloses.

7 A. Okay.

8 Q. Before you turn to Parulski -- sorry.  
9 That just gives you the context.

10 I want to look at paragraph 76 of your  
11 Declaration.

12 A. Okay.

13 Q. So if I understand you correctly, in  
14 paragraph 76, you are concluding, based on the  
15 teachings of Parulski, that the dog in the dog and  
16 mountain example would be in focus, correct?

17 A. Yes.

18 Q. Doesn't Parulski specifically teach that  
19 the dog is not in focus in that example?

20 (Witness reviewing document.)

21 A. Where does it say that the dog is not in  
22 focus?

23 Q. So line 14 and 15 on column 21 says:  
24 "The black dog is too dark (underexposed) and out of  
25 focus."

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1 "As mentioned earlier, the range map is  
2 then used to modify the captured image signal  
3 or the output image for ... object  
4 identification ... [or] object extraction."

5 Q. Okay. So speaking of object extraction,  
6 I think you said earlier that object extraction --  
7 well, would object extraction ever be used in  
8 autofocusing?

9 MR. LINK: Objection. Beyond the scope.

10 A. I don't recall seeing that in  
11 Dr. Durand's opinions. I don't think I provide an  
12 opinion on that particular issue.

13 Q. So I want to go back to the dog and  
14 mountain example.

15 Does the fact that the Wide image  
16 captures the dog out of focus -- you said that does  
17 not change your opinion, right?

18 A. That's right.

19 Q. Okay. Let's move on to something that,  
20 hopefully, will be a little quick, and then we can  
21 take a longer break for lunch.

22 Okay. What is image rectification?

23 A. I believe image rectification is  
24 referred to quite a bit in the '479 patent. It  
25 is -- when you rectify two images, you're finding a

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1 (Witness reviewing document.)

2 A. Okay. So the dog could be out of focus.

3 Q. Does that change your opinion in this  
4 section of your Declaration describing the dog and  
5 mountain example?

6 A. No. You could still obtain this using  
7 image -- image data from the Wide image.

8 It later says that "the range data can  
9 be used to isolate the mountains and the flowers,  
10 which can then be blurred, and further isolate the  
11 dog, which is sharpened to obtain a nice sharp  
12 image."

13 So I believe the range data is giving  
14 you the distance to objects, but if, for example,  
15 the dog is blurry, you just sharpen the dog image.  
16 You only need the dog -- a blurry dog image to  
17 sharpen a single image.

18 Q. Why do you need the range map to use  
19 just the Wide image data to sharpen the portion of  
20 the dog?

21 A. So the range data is used to isolate the  
22 mountains and the flowers and to further isolate the  
23 dog. So you would use the range data to isolate  
24 these portions of the image. I believe that was  
25 described earlier.

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1 correspondence -- a pixel-by-pixel correspondence  
2 corresponding to the objects intended to correspond  
3 to the same points on the objects depicted in the  
4 scenes.

5 Q. Would a POSITA have understood how to  
6 rectify images in 2013?

7 A. Yes. Let me also say that it's an  
8 expensive process. It involves, you know, a  
9 significant amount of computation.

10 Q. What are the benefits of image  
11 rectification?

12 MR. LINK: Objection. Outside the  
13 scope.

14 A. The '479 patent describes several of the  
15 benefits. I think I alluded them -- to them earlier  
16 in discussing image fusion.

17 Q. Is there a specific portion that you're  
18 referring to?

19 A. Sorry. You're breaking up. My  
20 connection might not have been -- what was the  
21 question?

22 Q. So you said that the '479 patent  
23 describes several benefits of image rectification.  
24 And I was wondering if you could elaborate on that  
25 for me, either by pointing me to a portion of the

31 (Pages 118 to 121)



Page 122

1 specification or listing those benefits.

2 A. So column 7, lines around 57 through 59:  
3 "Image processing that fuses the Wide and Tele  
4 images to achieve optical zoom, improve signal to  
5 noise ratio, and provides Wide dynamic range."

6 Those are three of the benefits.

7 Q. And are those the benefits of image  
8 rectification?

9 A. Image rectification is part of the image  
10 fusion process described by the '479, and -- and  
11 those are the resulting benefits of that process.

12 Q. Okay. Anything else you had in mind  
13 about benefits that the '479 lists about image  
14 rectification?

15 A. Let me see if there's an exhaustive  
16 list. I don't think I have an exhaustive list of  
17 all the benefits of the '479. Let's see.

18 Q. Well, I'm talking specifically about the  
19 benefits of image rectification.

20 A. I just gave -- I gave some examples.  
21 Were those not sufficient? I mean, I don't -- I  
22 didn't create an exhaustive list of all the benefits  
23 of image rectification.

24 Q. Okay. Well, do you know any more off  
25 the top of your head that you could give me?

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1 Q. Would you agree that image rectification  
2 makes calculations associated with stereo algorithms  
3 considerably simplified?

4 A. Image rectification can be used for  
5 stereo algorithms as one of -- one of the steps.  
6 And when it is used, it makes some of the subsequent  
7 steps easier.

8 Q. Okay. Would you agree that image  
9 rectification makes the pixel matching algorithm  
10 more efficient?

11 A. So when you do image rectification, the  
12 subsequent registration map can follow a single scan  
13 line search instead of searching throughout the  
14 image. So it does make that step easier when you're  
15 using rectification in that particular algorithm for  
16 stereo.

17 Q. Would you agree that image rectification  
18 is particularly beneficial when the two cameras  
19 capturing those images that you're going to rectify  
20 are positioned next to one another?

21 MR. LINK: Objection. Incomplete  
22 hypothetical.

23 A. I don't know that I had to look at that  
24 question in response to Dr. Durand's opinions. I  
25 don't know that I offered an opinion on whether it

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1 A. No. I think I'm, you know, speaking in  
2 reference to my opinions and my Declaration,  
3 responding to Professor Durand's -- Dr. Durand's  
4 declaration. So I don't recall off the top of my  
5 head any addition -- additional that were needed.

6 Q. I'm not asking you about what Dr. Durand  
7 said or about specific things you listed in your  
8 Declaration.

9 I'm asking you: As a POSITA and as an  
10 expert in this case, what are the benefits of image  
11 rectification?

12 Do you have any others to list, other  
13 than what you pointed to in column 7 of the  
14 '479 patent?

15 MR. LINK: Objection. Asked and  
16 answered.

17 A. There are -- there are many numerous  
18 benefits of rectification used in a wide variety of  
19 situations.

20 My opinions here are in response to  
21 Dr. Durand's opinions on the IPR provided. And the  
22 ones I've listed so far, to what I can tell, suffice  
23 to indicate the benefit of image rectification, as  
24 specified concisely by that sentence in the  
25 '479 patent.

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1 was beneficial.

2 I do know that even -- even when two  
3 cameras are close to each other, they -- the  
4 alignment is often not sufficient to avoid  
5 rectification. And a POSITA would have understood  
6 that in 2013.

7 Q. I'm not sure I understood your answer.  
8 Sorry. Just to make sure I -- let me try to  
9 rephrase it, and you tell me if I got it right or  
10 not.

11 Can you use image rectification to  
12 rectify two images captured with cameras that are  
13 next to each other?

14 A. That's a different question. And you  
15 can use rectification to rectify two images even if  
16 those two images are captured from cameras placed  
17 next to each other.

18 (Exhibit APPL 1013 introduced.)

19 BY MS. SIVINSKI:

20 Q. So I want to look at the Szeliski  
21 reference. Are you familiar with that?

22 A. Yes, I am.

23 Q. Let me know when you have it available  
24 to you.

25 A. Okay. I'm downloading.

32 (Pages 122 to 125)

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1 Okay. And I have it.  
 2 Q. I'm looking at page 11 of that PDF under  
 3 the heading "11.1.1 Rectification."  
 4 A. Okay.  
 5 Q. Okay. And I'm looking at the second  
 6 paragraph under that heading that starts with:  
 7 "A more efficient algorithm can be  
 8 obtained by first rectifying ... the input  
 9 images so that corresponding horizontal  
 10 scanlines are epipolar lines...."  
 11 Do you see that sentence?  
 12 A. Yes.  
 13 Q. Do you agree with that teaching?  
 14 A. So it's more efficient than what  
 15 Dr. Szeliski is referring to in the preceding  
 16 paragraph.  
 17 Q. Which is what?  
 18 A. "A more general correspondence  
 19 algorithm, such as optical flow."  
 20 Q. Okay. So when you're -- when you're  
 21 using a correspondence algorithm, you can make that  
 22 algorithm more efficient by first rectifying the  
 23 images, right?  
 24 A. More efficient than optical flow, yes.  
 25 Q. Okay. And then there's a footnote 2

1 after that sentence. Do you see that?  
 2 A. Yes.  
 3 Q. And that teaches that image  
 4 rectification "makes the most sense if the cameras  
 5 are next to each other."  
 6 Do you see that?  
 7 A. I see that that sentence begins with  
 8 those words, yes.  
 9 Q. Well, feel free to read the rest of the  
 10 sentence if you would like to for context.  
 11 Do you agree with that statement in  
 12 Szeliski?  
 13 MR. LINK: Objection. Vague.  
 14 A. I agree with the entire sentence in  
 15 Szeliski, saying that you can rectify any two images  
 16 as long as they're not merged too much or have too  
 17 much of a scale change.  
 18 Q. Okay. Could image rectification be used  
 19 to correct for differences in the perspective or POV  
 20 between an image captured by a Wide lens and a Tele  
 21 lens?  
 22 MR. LINK: Objection. Compound. Vague.  
 23 A. So the '479 patent describes an image  
 24 fusion process that relies on rectification and  
 25 registration in order to, for example, output a

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1 fused image with the point of view of the Wide  
 2 camera by mapping Tele image pixels to matching  
 3 pixels within the Wide image.  
 4 Q. So you mentioned in paragraph 82 of your  
 5 Declaration an alternative or what you consider an  
 6 alternative to range mapping.  
 7 Well, let me strike that question and  
 8 start over.  
 9 Paragraph 82 of your Declaration says  
 10 that there are alternatives to rectification.  
 11 Do you see that?  
 12 (Witness reviewing document.)  
 13 A. I don't see that specific sentence.  
 14 Q. Okay.  
 15 A. Is it paragraph 82?  
 16 Q. Yeah. I'm looking at the very bottom of  
 17 -- of page 44. So it's the end of the first  
 18 sentence of that paragraph.  
 19 You say that Dr. Durand "provides no  
 20 reason why a POSITA would use rectification over  
 21 other alternatives."  
 22 A. Yes.  
 23 Q. Do you see that?  
 24 A. Yes.  
 25 Q. Okay. What other alternatives are you

1 thinking about in that paragraph?  
 2 A. The rest of the paragraph discusses, for  
 3 example, plane sweep.  
 4 Q. And what is plane sweep?  
 5 A. It's an alternative to pre-rectifying  
 6 the images before matching. It's described in the  
 7 next section of Szeliski.  
 8 Q. Okay. Are there advantages of  
 9 rectification over plane sweep?  
 10 A. I think the reason that Szeliski  
 11 includes both algorithms is that both have certain  
 12 advantages and disadvantages when compared to the  
 13 other. If one was clearly better than the other,  
 14 Szeliski would have left the one that had no  
 15 advantages out of the book.  
 16 Q. Okay. How would a POSITA know whether  
 17 to use rectification or plane sweep in a particular  
 18 context?  
 19 A. That's exactly my point of -- of  
 20 paragraph 82. Dr. Durand is suggesting that a  
 21 POSITA would have known that you would follow  
 22 Szeliski's rectification method in combination with  
 23 Parulski. And I'm suggesting that, you know, that's  
 24 ignoring the existence of plane sweep, and -- and it  
 25 would not have been an obvious choice.

33 (Pages 126 to 129)

Page 130

1 Q. Could a POSITA have tried using  
2 rectification in a system and then also try using  
3 plane sweep in a system and see which one worked  
4 better?

5 A. That -- that describes experimentation.  
6 And, you know, that's a significant level of  
7 experimentation beyond what I would expect to be  
8 obvious.

9 Q. Okay. Well, is it possible, is my  
10 question.

11 Would it be possible for a POSITA to try  
12 using rectification in a system and then also try  
13 using plane sweep in a system and see which one  
14 works better?

15 A. I didn't offer an opinion on what would  
16 be possible for a POSITA. I offered an opinion on  
17 what would be obvious to a POSITA. And it would not  
18 have been obvious to a POSITA to use rectification  
19 versus plane sweep for these cases.

20 Q. Yeah. Okay.

21 So I understand what your Declaration  
22 says, but I'm asking you the question now.

23 So can you provide me an answer to my  
24 question now about whether it is possible for a  
25 POSITA to try using rectification in a system and

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1 also try using plane sweep in a system and see which  
2 one works better?

3 A. I believe I answered that before also.  
4 It's certainly possible, but would require  
5 significant experimentation.

6 Q. Okay. What experimentation would it  
7 require?

8 A. It would require an implementation of  
9 rectification; it would require an implementation of  
10 plane sweep; it would require an analysis of the use  
11 cases; you'd have to determine where they were being  
12 applied; you'd have to look at if there's any  
13 benefits to the fact that multiple rectifications  
14 may be happening, you know, in sequence.

15 There's a large number of variables here  
16 that would need to be considered and determined,  
17 including the platform that you would be evaluating  
18 these on and the characteristic of the data you'd be  
19 looking at.

20 Q. You agree with me that a POSITA would  
21 have known about how to implement image  
22 rectification, right?

23 A. A POSITA would have known about  
24 implementing rectification or plane sweep, based on  
25 the algorithms provided by -- by the Szeliski

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1 reference.

2 MS. SIVINSKI: Okay. I think now makes  
3 sense to take a longer break for lunch. So let's go  
4 off the record.

5 THE VIDEOGRAPHER: The time is  
6 1:08 p.m., and we're going off the record.

7 (Lunch recess taken.)

8 ---o0o---

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# 1 AFTERNOON SESSION

2 (Time noted: 2:14 p.m.)

3 THE VIDEOGRAPHER: The time is  
4 2:14 p.m., and we're back on the record.

5 -----

6 JOHN C. HART, Ph.D.

7 resumed as a witness and testified further as follows:

8 -----

## 9 CONTINUED EXAMINATION

10 BY MS. SIVINSKI:

11 Q. Hi, Dr. Hart. Welcome back.

12 A. Hello.

13 Q. Before we get started with the  
14 questions, I just wanted to note for the record that  
15 my colleague Priya is not going to rejoin the  
16 deposition after the lunch hour, because we will be  
17 talking about confidential business information.

18 So out of an abundance of caution, she's  
19 going to not rejoin. So, hopefully, that takes care  
20 of our confidentiality concerns that we addressed  
21 this morning.

22 MR. LINK: Stephanie, in view of that,  
23 let's have the transcripts be marked confidential.  
24 That way, we can -- I mean, we can talk then about  
25 what parts are confidential and what aren't, but at

34 (Pages 130 to 133)

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1 least initially, that way, they don't inadvertently  
 2 get sent to somebody that they shouldn't.  
 3 MS. SIVINSKI: That's fine with me.  
 4 BY MS. SIVINSKI:  
 5 Q. Okay. So I want to focus on one last  
 6 item from the 905 IPR, which is your opinions about  
 7 the Stein reference.  
 8 Are you familiar with the Stein  
 9 reference, Dr. Hart?  
 10 A. Yes. I believe it was one of the  
 11 references used in the Declaration.  
 12 Q. Yes. And let me -- I will add it into  
 13 the chat so you have it if you would like to take a  
 14 look at it.  
 15 A. Thank you.  
 16 (Exhibit APPL 1023 introduced.)  
 17 BY MS. SIVINSKI:  
 18 Q. So I would like to talk about the camera  
 19 or the lens assembly of cameras that Stein teaches.  
 20 Stein teaches a camera with two lenses,  
 21 right?  
 22 A. Let me bring that up.  
 23 (Witness reviewing document.)  
 24 Q. And if it's helpful, Dr. Hart, you can  
 25 look at the abstract. I can point you specifically

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1 to the first sentence, which says --  
 2 A. I saw two -- I saw two images.  
 3 Q. Okay. So let me rephrase my question  
 4 and --  
 5 A. And we include the second image capture  
 6 device. Yes. Okay.  
 7 Q. Okay. So the first sentence of the  
 8 abstract to Stein states:  
 9 "An imaging system for a vehicle may  
 10 include a first image capture device having a  
 11 first field of view and configured to acquire  
 12 a first image relative to a scene associated  
 13 with the vehicle, the first image being  
 14 acquired as a first series of image scan  
 15 lines captured using a rolling shutter."  
 16 Do you see that?  
 17 A. Yes. Yes, I do.  
 18 Q. All right. And then the second sentence  
 19 of the abstract says:  
 20 "The imaging system may also include a  
 21 second image capture device having a second  
 22 field of view different from the first field  
 23 of view."  
 24 A. Yes. And so it was the May -- I recall  
 25 Stein dealing with the rolling shutter and movement.

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1 So it would depend on how we're using Stein, whether  
 2 that relies on the -- a second camera being  
 3 necessary or not.  
 4 Q. Okay. Well, you would agree with me  
 5 that Stein discloses that you can use an imaging  
 6 system with a first image capture device and a  
 7 second image capture device, right?  
 8 A. Yes. That's correct.  
 9 Q. Okay. And it discloses that the first  
 10 image capture device can have a first field of view  
 11 and the second image capture device can have a  
 12 second field of view, right?  
 13 A. Yes.  
 14 Q. And would you agree that Stein teaches  
 15 one or that -- well, that one image capture device  
 16 has a longer focal -- focal length than the other?  
 17 A. Let me take a look.  
 18 Q. And, specifically, you can look at  
 19 Figure 2A of Stein, if that's helpful.  
 20 A. Okay. Let me take a look.  
 21 Yeah, yeah. It looks like at the bottom  
 22 of column 7:  
 23 "In some embodiments, image capture  
 24 devices 110 and 120 may be asymmetric."  
 25 They may have different fields of view

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1 and different focal lengths.  
 2 Q. Okay. I think you just mentioned this,  
 3 but just for an abundance of clarity, I guess, would  
 4 you agree that Stein teaches two image capture  
 5 devices that can have different fields of view?  
 6 A. Yes. That's in that same portion at the  
 7 bottom of column 7.  
 8 Q. And Stein discloses two image capture  
 9 devices that have -- that have different fields of  
 10 view, but that those different fields of view are  
 11 overlapping, right?  
 12 A. Yes.  
 13 Q. And Stein discloses the use of CMOS  
 14 sensors, right?  
 15 A. Yes.  
 16 Q. Okay.  
 17 A. It includes CCD sensors or CMOS sensors.  
 18 Q. So in terms of the image capture device  
 19 itself, are there any differences between the image  
 20 capture device Stein discloses and the image capture  
 21 device that Parulski discloses?  
 22 (Witness reviewing document.)  
 23 A. Let me take a look at Parulski. My  
 24 opinions were -- were on the need to combine. Let  
 25 me see if...

35 (Pages 134 to 137)

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(Witness reviewing document.)

So I think Parulski preferred CCD, but other sensors, such as CMOS sensors, could be used equally well without limitation, according to the invention.

Q. Okay.

A. So there's a preference away from CMOS, but CMOS could work for Parulski.

Q. Okay. Any other differences that you can ascertain, sitting here today, between the image capture devices disclosed by Stein and the image capture devices disclosed by Parulski?

A. Well, the --

MR. LINK: Objection. Outside the scope.

A. They were designed for completely different purposes.

Q. Okay. But in terms of the sensors and the lenses themselves, do you -- can you name any differences between the image capture devices in those two references?

A. I didn't --

MR. LINK: Objection. Asked and answered.

A. Yeah. I didn't analyze it any deeper

than -- than that. I did provide opinions that you wouldn't combine Parulski with Stein. And that was mostly motivated by the differences in purposes and designs of the two systems, how they're deployed, whether the camera is going to be moving or not.

And so those -- those differences were significant and didn't require me to look at specific differences between the -- the systems themselves --

Q. Okay. So --

A. -- whether the cameras were -- the camera CMOS sensor and other specific devices used in the embodiments were significantly different or not.

Q. Okay. So your understanding -- your testimony is that you did not need to look at any technical differences between the image capture devices in those two references to render your opinion; is that right?

A. My opinion is that it would not have been -- a POSITA would not have needed to be motivated to seek the synchronization offered by Stein that motivated Dr. Durand to consider that combination because of the different purposes between Parulski and Stein.

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Stein was disclosing an invention for moving cameras, cameras inside a moving vehicle, and Parulski disclosed sensors for a camera. And Parulski's usage case was a still camera taking still images.

And Parulski's -- I mean -- yeah, Parulski's case was a still camera taking still images. And in Stein's case, the camera would be in motion.

Q. Okay. Could Parulski's camera be used to capture motion, such as at a sporting event?

MR. LINK: Objection. Incomplete hypothetical.

A. I mean, Parulski captured video -- discussed capturing video, but the -- the usage cases, you know, focused on, you know, a shutter. And so it was -- you know, those -- depressing the shutter and capturing an image was, you know, exemplified in Parulski using still image sequences, but Parulski does include video.

And so that video could include, for example, a sporting event where you were filming in the stands some sporting event that was happening and had motion.

Q. Okay. But you could also use Parulski's

camera to capture images, not video, but still images, of something like a sporting event, which involves motion, right?

A. Well, I mean, there's motion at some level constantly. There was -- I mean, that distinction didn't seem to be made in Parulski.

Parulski -- if you're taking a signal image, then Parulski would capture that image whether or not the objects in that image were moving or still.

Q. So I want to make sure that I understand your previous answer.

I -- I appreciate the fact that your Declaration analyzes the different use cases between these two references, but I understood you to say that your Declaration does not provide an opinion about any technical differences between Parulski and Stein; is that right?

MR. LINK: Objection. Mischaracterizes testimony.

A. That's not my opinion. My opinion is that the combination would not have been motivated. And the primary reason that that combination would not have been motivated -- a POSITA looking at Parulski, for example, would not have been motivated

36 (Pages 138 to 141)



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1 to look at Stein was because of the use case.

2 There -- there is other reasons as well,  
3 but the -- the main ones that I outlined in my  
4 opinion were that -- were that usage case, the fact  
5 of whether or not the camera was in motion or not,  
6 and the special attention Stein had to devote to a  
7 moving camera.

8 Q. Okay. I don't think you're answering my  
9 question. So let me try to rephrase it and see  
10 if -- and we can try again.

11 A. Okay.

12 Q. Can you point me in your Declaration to  
13 any portion where you analyzed similarities or  
14 differences between the image capture devices  
15 disclosed in Stein and the image capture devices  
16 disclosed in Parulski?

17 A. So, I mean, I did analyze the camera  
18 system of Stein. Paragraph 88 talks about the  
19 rolling shutters and the need for synchronization of  
20 those rolling shutters. I found that provided  
21 significant differences in design from Parulski.

22 Q. Okay. So other than the rolling  
23 shutters, can you point me to any other portion of  
24 your Declaration that compares the image capture  
25 devices disclosed in Stein and Parulski?

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1 A. Off the top of my head, no. I think I  
2 primarily look at Stein in those paragraphs, 86, 87,  
3 88. I don't know that I looked at Stein for  
4 anything else.

5 Yeah. I believe it's just those three  
6 paragraphs, sitting here, just looking at my report.

7 Q. Thank you.

8 You have mentioned a few times that  
9 Stein's use case involves motion. Can you expand on  
10 why that is relevant to your opinions in these IPRs?

11 A. Yes. Anybody who's taken a picture with  
12 a camera with a rolling shutter knows that if you  
13 move the camera while you're taking that picture,  
14 the rolling shutter is -- is not seeing the image  
15 projected on the sensor all at once.

16 It's seeing -- it's basically running a  
17 scan line through. That's the rolling shutter  
18 portion of it.

19 And so, you know, when the scan line  
20 starts, it's seeing the image being projected at the  
21 beginning of a time. And then, later on, it is  
22 seeing the image at a subsequent point in time. And  
23 so you get a distorted image if the image is moving.

24 If you're using an image device for  
25 photography, that can be very -- that can produce a

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1 distorted photograph.

2 If you're using your image device for  
3 some other feat -- some other purpose, other than  
4 creating photographs for other people to look at,  
5 then you can accommodate that, because you know that  
6 that effect is happening.

7 And especially when you're using sensors  
8 for, you know, vehicle cameras for driving  
9 assistance, it doesn't matter if the image is  
10 distorted so long as you know the image is distorted  
11 and you factor that into your analysis, which is  
12 what Stein was doing.

13 Q. Does Stein teach any benefits for image  
14 processing, like matching objects within the images?

15 MR. LINK: Objection. Beyond the scope.

16 A. So Stein, you know, teaches that  
17 multiple vehicle cameras have to register past  
18 moving scenes. So it's important that the rolling  
19 shutters are synchronized, because a fast-moving  
20 scene would need synchronous shutters to -- to make  
21 sure it was looking at corresponding pixels in those  
22 two images coming up -- coming at them at the exact  
23 same time.

24 Q. How does that relate to matching objects  
25 within the images?

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1 A. I mean, my summary that was used for  
2 this opinion didn't go into those details of what  
3 that matching was doing, but that these two cameras  
4 had to register fast-moving scenes.

5 So that registration of fast-moving  
6 scenes means that there's some registration  
7 happening, but those resulting images aren't  
8 provided for human viewing. And so those rolling --  
9 rolling shutter artifacts don't need to be  
10 corrected. They just need to be accounted for in  
11 the analysis.

12 Q. So in looking at paragraph 86, 87, and  
13 88 of your Declaration, there aren't any citations  
14 to specific portions of Parulski or Stein, right?

15 A. I don't -- I don't see any, no.

16 Q. So what are your opinions based on in  
17 these sections -- or in these paragraphs? Sorry.  
18 Paragraph 86, 87, and 88.

19 A. I read the -- I read the --

20 Q. Sorry.

21 A. I read the entirety of Parulski; I read  
22 the entirety of Stein; I looked at all of the  
23 embodiments and the invention and what was  
24 disclosed.

25 And it was clear in determining whether

37 (Pages 142 to 145)

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1 a POSITA would be motivated, after looking at  
2 Parulski, to then look at Stein, that that  
3 motivation would not be there. It did not exist.  
4 The POSITA would not be motivated to look to Stein  
5 after looking at Parulski.

6 And that was largely because of those  
7 differences in the use case. The rolling shutters  
8 and the synchronization of rolling shutters was  
9 entirely, you know, part of a different system of  
10 a -- of a fast-moving camera with a fast-moving  
11 scene that just wasn't the situation with Parulski.

12 Parulski was able to synchronize the  
13 shutters for a completely different reason, just to  
14 facilitate reduced -- to avoid the need for the  
15 processing of the image so that both cameras were at  
16 the same point in the same image, so that -- so that  
17 they could produce the same segment of the image at  
18 the same time for Parulski's analysis.

19 Q. Okay. I have a few questions about the  
20 Soga reference -- or the portion of your Declaration  
21 that discusses Soga. So let's look at paragraph 97  
22 of your Declaration.

23 A. Okay.

24 Q. And I guess, more precisely, I should  
25 say, we should look at the section of your

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1 Declaration that begins with paragraph 97.

2 So if I understand the heading right,  
3 your -- of that section, your conclusion is that  
4 Apple has not shown that a particular claim  
5 limitation is satisfied with this combination under  
6 the claim limitation's proper construction.

7 And that claim limitation is "to find  
8 translations between matching points in the images,  
9 to calculate depth information, and to create a  
10 fused image suited for portrait photos," right?

11 A. Yeah. That Section 2 title is, as it is  
12 in my report, that Apple has not shown that  
13 limitation is satisfied under the construction I  
14 used, as described in the claim construction section  
15 for that term.

16 Q. Have you done any analysis about whether  
17 the claim limitation is met under Apple's  
18 construction of that term?

19 A. I did not agree with Apple's  
20 construction. I explained why in the claim  
21 construction section. And so I -- I performed my  
22 analysis under a plain and ordinary meaning for that  
23 term as I would expect a POSITA to understand it.

24 And so if -- later on, if, you know, a  
25 different construction is provided, then I would

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1 want to amend my opinions, based on that -- on that  
2 new information.

3 Q. So we talked a little bit about -- well,  
4 not a little. We talked a lot about range mapping  
5 today, right?

6 A. Yes.

7 Q. In the context of developing a range map  
8 or creating a range map, I think we used the term  
9 "depth" and "distance" today. And I want to make  
10 sure I understand how those fit together.

11 So in the context of a range map, does  
12 "depth" mean distance from the camera to objects in  
13 the image?

14 A. Which range map are we discussing?

15 Q. Well, I don't have a particular range  
16 map in mind. Did that question require us to look  
17 at a specific range map?

18 A. Yep.

19 Q. Does the definition of "depth" change,  
20 depending on which range map we're looking at?

21 A. I don't know what units of depth  
22 you're -- you're -- you're determining; where the  
23 range maps came from, the context.

24 The '479, you know, discusses this. The  
25 -- and Parulski discusses this. I believe Parulski

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1 has a table that it forms in order to, you know,  
2 determine autofocus, based on range data.

3 And so, you know, that -- that table  
4 is -- is carefully constructed in Parulski in order  
5 to make that connection between depth and distance,  
6 based on range data.

7 Q. Well, what is the connection between  
8 depth and distance?

9 MR. LINK: Objection. Vague.

10 A. I mean, there are several columns of  
11 Parulski devoted to that to answer that question.

12 Q. Can you determine the distance between  
13 the camera and an object using range, a range map?

14 A. So Parulski gives you Table 1. That's a  
15 table based on distance in feet or distance in  
16 millimeters and offset in pixels. That gives you an  
17 example of, you know, how you would make these  
18 determinations.

19 It offers -- Parulski offers two  
20 different algorithms for making these. one is an  
21 optimization algorithm, based on hill climbing.  
22 It's a very involved process to figure out what  
23 distance corresponds to a certain offset in pixels.

24 Q. So I'm not -- let me ask my question  
25 again, because you did not answer it.

38 (Pages 146 to 149)

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1 Can you determine the distance from the  
2 camera to an image -- to an object in the image  
3 using a range map?

4 MR. LINK: Objection. Asked and  
5 answered.

6 A. The answer is yes. Parulski was able to  
7 do that, as shown, for example, using Table 1.

8 Q. Okay. Thank you.

9 Okay. Now let's talk about your  
10 secondary considerations analysis, which begins on  
11 page 60 of your Declaration, paragraph 114.

12 And are you familiar with the concept of  
13 nexus in the secondary considerations context?

14 A. Yes.

15 Q. Okay. What is your understanding about  
16 what nexus is required?

17 MR. LINK: Objection to the extent it  
18 calls for a legal conclusion.

19 (Witness reviewing document.)

20 A. So I'm not a lawyer. At a very high  
21 level, the nexus is a connection.

22 Q. Between what?

23 A. For example, I saw that there is  
24 evidence of a nexus between the industry praise and  
25 the invention of the '479 patent.

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1 So the nexus would be a connection  
2 between what I'm listing as the secondary  
3 considerations and the invention, the elements of  
4 the '479 that -- that are under consideration.

5 Q. Okay. So does the nexus -- as you're  
6 applying it in your opinion, is the nexus between  
7 the secondary consideration and the patent or the  
8 secondary consideration and a particular claim of  
9 the patent?

10 A. I believe I'm showing it with the  
11 invention. I believe it's with respect to the  
12 invention, as described in the claims.

13 Q. So do you have -- have you rendered an  
14 opinion in your Declaration about whether there is a  
15 nexus between the secondary consideration evidence  
16 you present and any particular claim of the '479  
17 patent?

18 A. I mean, my opinions don't describe a  
19 specific claim. I believe it's just referring to  
20 the invention.

21 Q. So you mentioned several communications  
22 that happened between Apple and Corephotonics.

23 You were not a participant in any of  
24 those conversations, correct?

25 A. That's correct. I've seen -- I've seen

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1 evidence from those conversations, but I was not in  
2 attendance at any of those conversations.

3 Q. Okay.

4 A. And in -- more specific to your previous  
5 question, paragraph 115, it's my understanding that  
6 such secondary considerations must have a nexus to  
7 the claimed invention to be relevant to the issue of  
8 obviousness.

9 So I'm looking at the claimed invention.  
10 So the invention, as described by the claims.

11 Q. You didn't attend any meetings between  
12 Apple and Corephotonics, correct?

13 A. That's correct.

14 Q. So you provide a bullet point list that  
15 summarizes some emails between Apple and  
16 Corephotonics.

17 Who selected the [REDACTED] that you  
18 summarized in this portion of your Declaration?

19 A. I've got the -- I've got all of the  
20 [REDACTED]. I mean, in the preparation of this report,  
21 I don't remember who picked which, but I believe I  
22 was offered some -- some highlights that would be  
23 helpful, and I think I adopted them.

24 Q. Okay. Were you given [REDACTED] to review  
25 beyond those that you summarized in your bullet

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1 pointed list on pages 66 through 68 of your  
2 Declaration?

3 A. Yeah. I believe I was given complete  
4 sets, and those are -- you know, those are listed in  
5 my materials provided.

6 Q. Okay. So you were provided a set of  
7 [REDACTED]. And then you, with the help of a summary  
8 you were provided, showed some except in your  
9 Declaration, right? Is that right?

10 A. Right.

11 MR. LINK: Objection. Objection.  
12 Mischaracterizes his testimony.

13 Q. Okay.

14 A. I did -- I did have all the [REDACTED], and  
15 I did provide a summary in that bulleted list.

16 Q. Okay. So the first bullet point on  
17 page 67 of your Declaration references a [REDACTED]  
18 named [REDACTED]

19 Do you see that?

20 A. Yes.

21 Q. Did you review that file?

22 A. No, I did not.

23 Q. Did you ask to review that file?

24 A. No. I -- I understood that that file  
25 was provided, and I was able to ascertain its

39 (Pages 150 to 153)

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1 contents, based on the descriptions I was given. So  
2 I didn't see any need to further examine that file.

3 Q. Do you know whether the  
4 [REDACTED] file describes a dual-aperture  
5 camera?

6 A. Based on that bullet, that describes the  
7 design of a [REDACTED] And so  
8 it's really [REDACTED]

11 [REDACTED] And that was my understanding, and  
12 that's what's documented in that bullet.

13 So I didn't -- I didn't look further to  
14 see if it needed to have those additional pieces.  
15 That bullet just says that the description was  
16 regarding [REDACTED]

17 Q. Do you know whether the [REDACTED]  
18 [REDACTED]  
19 file meets the requirements of [REDACTED]  
20 described in claim 1 of the '479 patent?

21 (Witness reviewing document.)

22 A. That was my understanding upon receipt  
23 of the [REDACTED], but I don't believe I have an opinion  
24 stating that specifically, that equivalence, no.

25 Q. Do you know whether Corephotonics shared

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1 any Zemax files relating to a Wide lens, as  
2 described in claim 1 of the '479 patent?

3 A. My recollection here, I don't recall.  
4 And everything that I could speak about  
5 regarding that without looking at all the [REDACTED] is  
6 here in my report. And I don't see any disclosure  
7 of a Wide -- Wide angle.

8 I think the particular challenges with  
9 the telephoto lens and the total track length with  
10 respect to the effective focal length was the -- was  
11 the real invention.

12 I believe, you know, that's -- that's  
13 actually explained early on -- earlier in this  
14 section.

15 Q. But you don't know whether the

16 [REDACTED]  
17 [REDACTED] requirements of  
18 claim 1 of the '479 patent, right?

19 A. Can you repeat that question?

20 Q. Sure. You don't know whether the

21 [REDACTED]  
22 [REDACTED] requirements of  
23 claim 1 of the '479 patent, right?

24 A. I certainly didn't express an opinion to  
25 that level of detail.

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1 Q. Did you review any information about the  
2 [REDACTED]  
3 [REDACTED]?

4 A. I did not do that, no.

5 Q. And did you do any analysis about  
6 whether the [REDACTED]  
7 [REDACTED]  
8 requirements that are described in claim 19 of the  
9 '479 patent?

10 A. Oh, I did not personally do that  
11 analysis. It was my understanding that the

13 [REDACTED]  
14 [REDACTED] "has a respective effective focal  
15 length ... and total track length ... fulfilling the  
16 condition EFL-t/TFL-t is greater than 1," which is  
17 one of the main elements of the invention.

18 And so my understanding was that it  
19 disclosed information regarding that.

20 Q. So your -- have you assumed that the  
21 [REDACTED] file meets the limitation of  
22 effective focal length and total track length and  
23 F number described by the '479 patent?

24 MR. LINK: Objection. Mischaracterizes  
25 previous testimony.

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1 A. I don't believe I mention F number in  
2 this -- in relation to that, but I do -- I do  
3 believe that that [REDACTED] at least satisfied  
4 the effective focal length and total track length  
5 conditions of the invention.

6 Q. How do you know that?

7 A. It's my understanding, based on the  
8 material that was given to me.

9 Q. Okay. Which particular material is that  
10 understanding based on?

11 A. The emails that were provided to me, the  
12 corresponding reports by the head of Corephotonics,  
13 and all the other materials I used to create these  
14 opinions.

15 Q. But you have not seen any data about the  
16 effective focal length or total track length of the  
17 [REDACTED],  
18 right?

19 A. As I -- as I mentioned before, I did not  
20 examine the details of that file to determine its  
21 specific contents.

22 Q. And you haven't seen any data from  
23 another source, other than the [REDACTED], about what  
24 the effective focal length and total track length of  
25 that lens design would have been, correct?

40 (Pages 154 to 157)

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1 A. I don't -- I don't recall the entirety  
2 of Dr. Moore's declaration. So I don't recall if he  
3 examined that or not. I certainly -- you know, here  
4 in paragraph 123, I'm focusing my comments on -- on  
5 the [REDACTED] and what was provided to me through those  
6 [REDACTED].

7 Q. So the first bullet on page 67 also  
8 mentioned a file called [REDACTED]  
9 [REDACTED]

10 Do you see that?

11 A. Yeah. [REDACTED]  
12 [REDACTED]?

13 Q. Right. Did you review that file?

14 A. No, I did not.

15 Q. All right. [REDACTED]  
16 [REDACTED]s?

17 MR. LINK: Objection. Vague.

18 A. I didn't look at those details of the --  
19 of the [REDACTED].

20 This bullet is really indicating that --  
21 that these two files were provided to Apple, and I  
22 understood that they were being provided to Apple.

23 And my understanding was that they  
24 contained details about that -- [REDACTED]  
25 that would have -- that pertain to the claimed

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1 invention specifically with the corresponding  
2 effective focal length and total track length.

3 Q. Okay. But, again, with respect to the  
4 [REDACTED], your  
5 understanding that it contained details about  
6 effective total track length and effective total  
7 track -- sorry, total track length and effective  
8 focal length is not based on any data that you  
9 reviewed, other than the email itself?

10 A. I used all of the materials in forming  
11 my opinions. That includes any analysis that  
12 Dr. Moore would have done; the declaration of the  
13 head of Corephotonics and other materials. And --  
14 and that -- you know, I was able to make these  
15 statements.

16 The statement says that I understand  
17 that those -- those files were provided on  
18 September 19, 2013.

19 In addition to that, I -- my  
20 understanding is that they would have contained  
21 information on such a zoom lens, such a Tele lens.

22 Q. You haven't seen any data about the  
23 effective focal length or the total track length of  
24 the [REDACTED]  
25 [REDACTED], right?

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1 A. Not in those files. I reviewed other  
2 information that -- that also, you know, described  
3 the parameters of the Tele lenses that Corephotonics  
4 was able to provide that was consistent with my  
5 understanding of what would have been in those  
6 files.

7 Q. But you have not rendered an opinion  
8 about whether the [REDACTED]  
9 [REDACTED] referenced in the first bullet on page 67  
10 would meet the claim limitation of any claim in the  
11 '479 patent, right?

12 A. It's my understanding that they would  
13 have, but I don't believe there's an opinion in my  
14 Declaration stating precisely that.

15 Q. What is the difference between a [REDACTED]  
16 file and a [REDACTED] file?

17 A. I have not used Zemax extensively enough  
18 to determine that. Perhaps one is a binary  
19 compressed version, but I don't know.

20 Q. So the first bullet point on page 68  
21 references [REDACTED]  
22 [REDACTED].

23 Do you see that?

24 A. (Witness reviewing document.)

25 Q. It's the very last phrase of that

Page 161

1 bullet.

2 A. Okay. Yes.

3 Q. Okay. Are the [REDACTED] you're  
4 describing on page 68 different from those that you  
5 described on page 67?

6 A. I don't recall the details. They might  
7 be.

8 Q. Okay. Did you see the [REDACTED]  
9 [REDACTED] that is described in  
10 the first bullet on page 68 of your Declaration?

11 A. No. I did not dive into that.

12 Q. Do you know whether the [REDACTED]  
13 of algorithms and simulations described on page 68  
14 of your Declaration would meet any claim limitations  
15 of the '479 patent?

16 A. I believe all of these bulleted items  
17 are pertinent to the inventions of the '479, and  
18 that was my understanding in making these opinions.

19 Q. Have you done independent analysis of  
20 whether the items described in the bullet point list  
21 in your Declaration meet any claim limitations of  
22 the '479 patent?

23 A. I --

24 MR. LINK: Objection. Vague.

25 A. -- haven't looked at my --

41 (Pages 158 to 161)



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1 THE WITNESS: I'm sorry. Go ahead.

2 MR. LINK: I just said objection.

3 Vague. You can answer.

4 THE WITNESS: Okay.

5 A. I was focusing my analysis on the  
6 claimed invention, the invention as specified in the  
7 claims as a whole.

8 Q. Okay. Well, have you done any  
9 independent analysis of whether the items described  
10 in the bullet point list in your Declaration meet  
11 any claim of the '479 patent?

12 A. Nothing beyond what was provided in the  
13 [REDACTED]. I didn't see any -- any need to dive deeper  
14 than to accept the assurances provided to me through  
15 the -- through the [REDACTED] and the other information  
16 provided to me.

17 Q. What about the [REDACTED] that you reviewed  
18 indicated that the items you've described in your  
19 bullet pointed list practiced the '479 patent?

20 A. Their description; you know, the  
21 declarations by Dr. Moore, by Dr. -- by the head of  
22 Corephotonics; and the other materials I had seen  
23 and the other descriptions of them in the emails.

24 Q. Okay. You also referenced some licenses  
25 that Corephotonics entered.

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1 Did you review any of those [REDACTED]

2 [REDACTED] for your work in these IPRs?

3 A. I don't recall. I saw a lot of  
4 discussion of licenses and a lot of legal documents.  
5 I don't recall if any of them was specifically  
6 licensed or not.

7 If I had, it would appear in my list of  
8 materials considered, but I don't see any opinion  
9 here that discusses a specific license versus, you  
10 know, opinions regarding discussions of licensing.

11 Q. So if you look at page 125 of your  
12 Declaration.

13 A. You mean paragraph 125?

14 Q. Yes. Paragraph 125, the top of page 70,  
15 after footnote 7, there's a sentence that says,  
16 "Other companies who have taken licenses to  
17 Corephotonics' technology include."

18 And then you list a whole -- you list  
19 several companies that have licensed Corephotonics'  
20 technology, right?

21 A. Yes.

22 Q. Which of those licenses covered the  
23 '479 patent?

24 A. My understanding is that each and every  
25 one of those licenses includes '479 technology and

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1 that these licenses are evidence of industry-wide  
2 respect for the patented technology.

3 Q. Okay. But you did not review any of  
4 those licenses yourself, correct?

5 A. My understanding -- and I did not  
6 review -- I did not read each of those licenses.  
7 They were provided to me through the Kali  
8 declaration, the head of Corephotonics, but my  
9 understanding is that each of these licenses  
10 included the '479 technology.

11 Q. Okay. Who told you that each of these  
12 licenses includes the '479 technology?

13 A. I believe that was communicated through  
14 the Kali declaration.

15 Q. Okay. What else is covered by these  
16 licenses?

17 A. I don't -- I don't recall. I don't know  
18 that that was provided. I just know that the '479  
19 technology was covered by these licenses.

20 Q. Do you know what motivated these  
21 companies to take a license to Corephotonics'  
22 technology?

23 A. I suppose you'd have to ask each of  
24 these companies that question. I did not discuss  
25 this with each of these companies.

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1 My understanding is, the '479 technology  
2 motivated these licenses, based on what I've seen.

3 Q. What -- what is that understanding based  
4 on?

5 A. Well, for example, Apple's desire for  
6 the '479 technology. I think Apple is a producer of  
7 mobile devices with -- with phones. Many of these  
8 other companies do the same thing. I think they  
9 would have had similar interests.

10 Q. Okay. But have you seen any information  
11 to show you that these licensees, as listed on  
12 page 70 of your Declaration, were looking to get a  
13 license specifically to the '479 patent?

14 A. You would have to ask those other  
15 companies why they were getting the licenses.

16 My -- my understanding is that each of  
17 these licenses included the '479. If these  
18 companies did not want the '479 technology, I assume  
19 they would have left that out of the agreement and  
20 tried to revise the agreement differently because of  
21 that, but they didn't eliminate the '479.

22 My understanding is that the '479  
23 technology was included in each of those agreements,  
24 which means that the companies valued it.

25 Q. Well, wouldn't it be equally safe to

42 (Pages 162 to 165)

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1 assume that if the licenses covered other patents,  
2 that those companies also valued the other patents  
3 covered by the license just as much?

4 A. I believe this section of my opinions is  
5 looking for industry-wide respect for patented  
6 technology. I think there could be industry-wide  
7 respect for a variety of different technologies. I  
8 think the '479 received industry-wide respect  
9 because it was included in these license agreements,  
10 regardless of whether other technologies were also  
11 included.

12 Q. In your opinion, is there a nexus --  
13 excuse me.

14 Is there a nexus between the licenses  
15 that you list on page 70 of your Declaration and the  
16 '479 patent?

17 A. Yes. There's certainly a connection.  
18 The fact that they were listed; the fact that all of  
19 these companies wanted license specifically to that  
20 technology provides a nexus.

21 Q. But you don't know that those licensees  
22 wanted licenses specifically to that technology,  
23 right?

24 A. I believe I do know that by the fact  
25 that the license -- that that technology was

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1 included in these licenses.

2 Q. And, again, you didn't do any analysis  
3 of the licenses to see what was covered in the  
4 licenses, correct?

5 MR. LINK: Objection. Asked and  
6 answered.

7 A. I'm going by what was provided to me  
8 through the Kali declaration.

9 Q. Do you know how much these parties paid  
10 for licenses to the '479 patent?

11 A. I don't believe I included that in any  
12 of these opinions. Off the top of my head, I don't  
13 recall.

14 Q. Does the price a party paid for the  
15 license impact whether that license is evidence of  
16 industry-wide respect for the patented technology?

17 A. I don't know that that factored into my  
18 analysis of these particular considerations. I do  
19 know that Samsung acquired Corephotonics for a price  
20 point of 155 million, but I believe that's the only  
21 mention I see of an actual amount of money.

22 Q. How much of that \$155 million was  
23 related to the '479 patent?

24 A. So in looking, for example, at Apple's  
25 interest in working with Corephotonics and why Apple

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1 was interested in Corephotonics, there was definite  
2 interest in the '479 technology.

3 So I don't have a specific dollar  
4 amount, but I do believe that the '479 technology  
5 was significant in what Corephotonics had to offer  
6 and played a significant role in -- in that purchase  
7 and -- enough to show commercial success.

8 Q. Well, Corephotonics has several U.S.  
9 patents, right?

10 A. I don't recall. I only looked at the  
11 '479.

12 Q. Well, are you aware that there are  
13 several other IPR proceedings involving Apple and  
14 Corephotonics?

15 A. No, I wasn't aware of that.

16 Q. Okay. So do you know how many patents  
17 Samsung would have been acquiring as part of its  
18 acquisition of Corephotonics?

19 A. I know that the articles regarding  
20 Samsung's acquisition of Corephotonics focused on  
21 camera technology, including the '479. So I think  
22 that shows commercial success.

23 I think many of the other patents that  
24 Corephotonics has may also have been commercially  
25 successful, but the focus of my opinions here are on

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1 the commercial success of the '479.

2 Q. Well, isn't Corephotonics' entire  
3 business focused on cameras and lenses and image  
4 processing for mobile phone devices?

5 A. I believe largely. I didn't look at a  
6 complete listing of what Corephotonics does, but I  
7 do know that Corephotonics does do that.

8 Q. Well, how can you assume that just  
9 because a company like Apple or Samsung, who are  
10 interested in mobile phone cameras, how can you  
11 assume that a company like that talking to  
12 Corephotonics is related to the '479 patent?

13 A. I don't think that's what I'm stating.  
14 All I'm stating is that the '479 patent was  
15 valuable; it -- it demonstrated commercial success;  
16 and it, you know, demonstrated rephrase, it  
17 demonstrated licensing, and it even demonstrated,  
18 you know, failure of others in copying.

19 And these are -- these are supporting  
20 that specifically for the '479.

21 Q. So you mentioned that there were  
22 articles regarding Samsung's acquisition of  
23 Corephotonics and that those focused on camera  
24 technology.

25 Which articles were you referring to?

43 (Pages 166 to 169)

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1 A. There's a list of three on paragraph  
2 128.

3 Q. Do -- or which of those three articles  
4 specifically mentioned the '479 patent?

5 A. These are articles in Forbes, in Globes,  
6 and in Engadget. You know, when I read those  
7 articles, I get a high level indication of what's  
8 going on. They don't dive into specific  
9 patent-level technology details like CMOS cameras  
10 versus other -- other forms of cameras and so on.

11 So these -- the titles of these are  
12 rather telling, though, that "Samsung Buys  
13 Significant New Camera Advantage Over Apple";  
14 "Samsung Bought a Company to Improve Its Phone  
15 Cameras."

16 And the '479 was included in that  
17 purchase, and the '479 provides an invention that  
18 improves phone cameras.

19 Q. How do you know that Samsung's  
20 acquisition of Corephotonics was motivated by the  
21 technology of the '479 patent as opposed to any of  
22 the other multiple patents that Corephotonics owns  
23 on mobile phone technology -- mobile phone camera  
24 technology? Excuse me.

25 A. Largely through examining, you know,

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1 many of the [REDACTED] and so on documenting the  
2 interest of Apple. I think Apple and Samsung have,  
3 you know, been competing over phone technology,  
4 camera technology in phones.

5 I believe Samsung's interest in  
6 Corephotonics would have been similar to Apple's  
7 interest in Corephotonics. And Apple was clearly  
8 interested in the '479.

9 Q. Okay. I will represent to you that  
10 there are about 15 patents at issue in IPRs between  
11 Apple and Corephotonics and that they all deal with  
12 various aspects of mobile phone camera technology.

13 How do you know that Samsung's  
14 acquisition of Corephotonics related to or has a  
15 nexus to the '479 patent as opposed to any of the  
16 other about 14 patents that Corephotonics has at  
17 issue in IPRs against Apple?

18 A. You would have to ask Samsung a question  
19 about why Samsung purchased Corephotonics. I'm  
20 providing an opinion based on the information I was  
21 given.

22 The information I was given made it  
23 quite clear that Apple was interested in the '479  
24 specifically, the '479 technology and invention  
25 specifically. And my understanding was that Samsung

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1 would have been interested for the same reason.

2 Q. Is it your opinion that there is a nexus  
3 between evidence of Samsung's acquisition of  
4 Corephotonics and the nonobviousness of the claims  
5 of the '479 patent?

6 (Witness reviewing document.)

7 A. Can you ask that question again?

8 Q. Sure.

9 A. It's a very legal question.

10 Q. Right. So we talked earlier -- I can  
11 break it down a little bit. See if this helps.

12 We talked earlier about how evidence of  
13 secondary considerations of nonobviousness must have  
14 a nexus to the claims of the '479 patent, right?

15 A. (Witness nodding.)

16 Q. Okay.

17 A. Yeah. You asked that earlier, yeah.

18 Q. Okay. And you agree, right, that that  
19 nexus must exist?

20 A. Yes.

21 MR. LINK: Objection to the extent it  
22 calls for a legal conclusion.

23 A. Yeah. And that's my understanding. I'm  
24 not a lawyer.

25 Q. Right.

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1 Is it your opinion that there is a nexus  
2 between Samsung's acquisition of Corephotonics and  
3 the '479 patent?

4 Actually, let me -- let me scratch that  
5 question and make -- give you one that's more  
6 precise.

7 Is it your opinion that there is a nexus  
8 between Samsung's acquisition of Corephotonics and  
9 the claims of the '479 patent?

10 MR. LINK: Objection. Vague.

11 A. So paragraph 129 of my Declaration:  
12 "Patent Owner's acquisition by Samsung  
13 ... is evidence of Patent Owner's commercial  
14 access [sic] and is attributable to Patent  
15 Owner's innovative technology, including its  
16 smooth transition algorithms."

17 That would have been facilitated by the  
18 '479.

19 "It is thus evidence tending to support  
20 the nonobviousness of the challenged claims."

21 So --

22 Q. Which claims of the 47 -- I'm sorry.  
23 I'm sorry.

24 A. Go ahead. I don't think I had anything  
25 else.

44 (Pages 170 to 173)

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1 Q. I didn't mean to interrupt you. I'm  
2 sorry, Dr. Hart.

3 Which claims of the '479 patent claim a  
4 smooth transition algorithm?

5 A. So claim 1, for example, it has a camera  
6 controller that fuses the Wide and Tele images. And  
7 in the process of smoothing -- of fusing those  
8 images, it can give you a smooth transition between  
9 the images in either sensor as you're switching from  
10 one to the other in a zooming operation.

11 Q. So your position is that the -- your  
12 opinion is that the '479 patent requires a smooth  
13 transition algorithm?

14 A. No. That's not what I said. One of the  
15 benefits of '479 is that its image fusion supports  
16 the ability to provide a smooth transition.

17 Q. Okay. But your opinion is that  
18 Samsung's interest in smooth transition algorithms  
19 supports a nexus between Samsung's acquisition and  
20 the '479 patent claim?

21 A. Yes. I believe so. That's what I'm  
22 saying here, that including its smooth transition  
23 algorithms, which -- which is -- and that Samsung's  
24 purchase of Corephotonics is attributable to Patent  
25 Owner's innovative technology, including the smooth

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1 transition algorithm.

2 Q. Doesn't the '479 claim a fusion  
3 algorithm?

4 A. I'm sorry. Can you repeat that? I  
5 don't know if I heard all of that.

6 Q. Sure. Doesn't the '479 patent claim a  
7 fusion algorithm?

8 A. Claim 1 has a requirement for a fused  
9 image where that fused image has the point of view  
10 of the Wide camera.

11 MS. SIVINSKI: So I think we've been  
12 going a little bit over an hour. Do you want to  
13 take a break, or are you okay to keep going?

14 THE WITNESS: I'm okay to keep going if  
15 everybody else is.

16 MS. SIVINSKI: Okay.

17 BY MS. SIVINSKI:

18 Q. Let's turn to paragraph 124 of your  
19 Declaration.

20 I'm sorry. Before we do that, let's go  
21 back to the licenses we discussed earlier.

22 Is it your opinion that there is a nexus  
23 between the licenses that we discussed earlier and  
24 the claims of the '479 patent?

25 A. I didn't map those licenses to specific

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1 claims. The claims as a whole, "the claimed  
2 invention" is the term I used in that section.

3 Q. Okay. Now let's go back to the  
4 paragraph -- or let's go to paragraph 124.

5 In this paragraph, you're talking about  
6 Apple's interest in potentially licensing  
7 Corephotonics' IP, right?

8 A. Yes.

9 Q. And those discussions would have  
10 occurred, according to your Declaration, in  
11 August of 2016?

12 A. Yes.

13 Q. And that's a year and a half before the  
14 '479 patent issued, right?

15 A. (Witness reviewing document.)

16 Q. Sorry. Two and a half years. I can't  
17 do math.

18 A. I was going to say. August 2016 and the  
19 patent issued March of 2019.

20 Q. Okay. So let me ask the question again,  
21 doing math correctly this time.

22 The discussions between Corephotonics  
23 and Apple about licensing Corephotonics' IP that you  
24 discuss in paragraph 124 would have occurred two and  
25 a half years before the '479 patent issued, correct?

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1 A. Yes. Before the date of the patent.

2 Q. Okay. And the only patent that you  
3 discuss in this paragraph of your Declaration is the  
4 '291 patent, correct?

5 A. I believe so, yes.

6 Q. Okay. Have you compared the claims of  
7 the '291 patent to the claims of the '479 patent?

8 A. I wasn't -- you know, that didn't seem  
9 to be relevant to the declaration -- you know, the  
10 opinions provided by the Durand declaration. I  
11 wasn't mapping specific claims to specific items in  
12 this section. It was really to the claimed  
13 invention.

14 Q. Well, how do you know that Apple's  
15 interest in licensing Corephotonics' IP demonstrates  
16 the nonobviousness of the claimed invention if those  
17 discussions happened before the claimed invention  
18 was even patented?

19 A. This is based on a provisional  
20 application and the previous application. I -- I  
21 looked at the file history. And so based on what I  
22 looked at before, the invention, you know, remained  
23 consistent with everything I've seen.

24 So I didn't -- I didn't see any -- any  
25 changes major enough to alter my opinion, based on

45 (Pages 174 to 177)



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1 the previous iterations of the patent that had been  
2 filed.

3 Q. But you have no -- you have not done any  
4 independent analysis to determine the difference in  
5 claim scope between the '291 patent and the  
6 '479 patent, correct?

7 A. Not independently. I've -- I've been  
8 responding to, you know, the IPR and providing  
9 opinions relevant to the IPR that include examining  
10 the file history and the issues relevant to the  
11 patent, the invention of the patent. And that dates  
12 all the way back to the priority date of 2013.

13 Q. Did you review Corephotonics' fusion  
14 algorithm as part of your work on the 905 and 906  
15 IPRs?

16 MR. LINK: Objection. Vague.

17 A. I reviewed the specification of the  
18 '479. Are you asking about something beyond what's  
19 documented in the '479?

20 Q. Yes. Did Corephotonics share with you  
21 any fusion algorithms as part of your work for you  
22 to review in these cases?

23 A. Everything I examined is listed in my  
24 materials considered. There's some literature, some  
25 articles, and so on about the technology. I

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1 reviewed those. I didn't -- I wasn't provided  
2 anything by Corephotonics that isn't listed in my  
3 materials considered.

4 Q. Did you ask to see any of Corephotonics'  
5 fusion algorithm?

6 MR. LINK: Objection. Vague.

7 A. No.

8 Q. Okay. Did you review any Apple products  
9 as part of your work on the 905 and 906 IPRs?

10 MR. LINK: Objection. Beyond the scope.

11 A. My opinions regarding Apple products are  
12 as provided by the materials considered. I didn't  
13 ask Apple for any further information beyond the  
14 materials considered, but that material considered  
15 was convincing to determine, you know, Apple's use  
16 of similar ideas.

17 Q. Which -- well, let me back up.

18 It's your opinion that there's evidence  
19 that Apple copied the invention of the '479 patent,  
20 correct?

21 A. I -- yeah. I show that there's evidence  
22 of Apple's copying of the '479 technology, and that  
23 evidence is from the Patent Owner. And that also  
24 supports my conclusion of, in general, the  
25 failing -- failure of others in copying as the

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1 secondary considerations.

2 Q. Which of the Apple products do you think  
3 copies the '479 patent?

4 A. One that's mentioned in this Declaration  
5 is at paragraph 133. I describe the iPhone 7 in  
6 fall of 2016.

7 Q. Did you review any information about the  
8 iPhone 7 or the iPhone 7 Plus, specifically, the  
9 cameras or algorithms that those devices use, in  
10 your work for these cases?

11 A. Yes.

12 Q. What did you review?

13 A. Well, one thing I reviewed is this  
14 forums thread from the Apple developer forum that's  
15 cited in the -- in paragraph 133.

16 Q. Was there any information in that  
17 forums.developer thread about the lenses in the  
18 iPhone 7 and iPhone 7 Plus?

19 A. I think I provide an example quote  
20 there. That example quote just speaks of the Wide  
21 angle and Tele photo cameras. It doesn't  
22 specifically talk about the lens technology in that  
23 particular quote.

24 Q. Is there anything about the -- let me --  
25 let me read your answer and make sure I ask an

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1 intelligent question to follow up. Hold on one  
2 second.

3 Does that forums.developer article speak  
4 at all about the autofocus mechanism, if any, that  
5 the iPhone 7 or iPhone 7 Plus used?

6 A. I don't recall off the top of my head.

7 Q. Does that developer -- or forums thread  
8 include any information about whether the iPhone 7  
9 and iPhone 7 Plus generate a fused image?

10 A. Well, the part I did reproduce here says  
11 that "the Dual camera intelligently fuses image" --  
12 "images from the wide-angle and telephoto cameras to  
13 improve image quality."

14 Q. Do you know whether the fused image that  
15 is discussed in that thread meets the claim  
16 limitations of any claim in the '479 patent?

17 A. Well, the fact that it discusses image  
18 fusion and especially intelligent image fusion  
19 indicates to me that we would be talking about claim  
20 1.

21 Q. Okay. Is there only one way to fuse  
22 images?

23 A. There can be any number of ways to fuse  
24 images. There can be any number of ways to do it  
25 intelligently. In order to do it for cameras, the

46 (Pages 178 to 181)



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1 way that a dual-camera system would intelligently  
2 fuse images to improve image quality, so that  
3 there's no obvious transition, that kind of image  
4 fusion was described by the '479 patent.

5 This is one of several reasons I list in  
6 paragraph 133 that leads me to believe that the  
7 iPhone 7 was using approaches based on the invention  
8 of the '479.

9 Q. Well, how do you know that the Apple  
10 products you reference in paragraph 133 copy  
11 Corephotonics' technology if you don't know whether  
12 they meet any of the limitations of the '479 patent?

13 A. I mean, I say that it's "strongly  
14 implied by the course of conduct between the parties  
15 and the timing of Petitioner's announcement of the  
16 dual-aperture camera in their iPhone 7 series."

17 So the timing is suspicious. The  
18 announcement and the technology described is -- is  
19 suspicious.

20 So I think there's a strong implication  
21 there. That's what that paragraph is saying.

22 Q. But you haven't done any analysis to see  
23 whether Apple's technology or Apple's iPhone 7 or  
24 iPhone 7 Plus meet the claim limitations of the  
25 '479 patent, correct?

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1 MR. LINK: Objection. Compound.

2 A. What I saw was convincing enough to  
3 suggest that Apple had built its own camera and  
4 image processing technology based on the technology  
5 -- technology at issue with the '479. And that  
6 supported the conclusion that the challenged claims  
7 are not obvious for the purposes of the IPR.

8 Q. Okay. That did not answer my question.  
9 So let me ask it again.

10 A. Okay.

11 Q. Have you done any analysis to see  
12 whether Apple's iPhone 7 or iPhone 7 Plus meet the  
13 claim limitations of the '479 patent?

14 MR. LINK: Objection. Compound.

15 A. I thought I just answered that question.  
16 You know, the analysis that I did is based on the  
17 materials I considered for this report. I've got  
18 paragraphs 130 through 134 describing in detail what  
19 evidence I used to determine failure of others in  
20 copying as evidence of nonobviousness.

21 And then I arose at that conclusion,  
22 based on that evidence.

23 That's the extent of the analysis I  
24 performed. I didn't -- I did not perform further  
25 evidence than what I -- further analysis than what I

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1 documented in paragraphs 130 to 134 to come up with  
2 the conclusion that -- that's listed at the end of  
3 those paragraphs.

4 Q. Okay. You state in your Declaration at  
5 pages 66 and 67 that Corephotonics provided samples  
6 of fused images to Apple. And there are several  
7 different instances of that. So we can go through  
8 them one by one if you'd like.

9 The last bullet on page 66 says that:

10 [REDACTED]  
11 [REDACTED]  
12 [REDACTED]  
13 [REDACTED]

14 Do you see that?

15 A. Yes.

16 Q. Do you know which image fusion  
17 algorithms Corephotonics photos used?

18 A. I don't know that I have any opinions on  
19 image fusion algorithms. What I have is what's  
20 provided in the '479 in the specification that  
21 describes how the '479 approached image fusion.

22 And the -- these paragraphs were -- were  
23 provided by the Patent Owner in a public complaint  
24 as being pertinent to the '479.

25 Q. Do you know whether [REDACTED]

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1 [REDACTED]  
2 [REDACTED]  
3 (Witness reviewing document.)

4 A. I examined these [REDACTED] and the  
5 declaration of -- of the head of Corephotonics that  
6 included the licensing discussions between Patent  
7 Owner and Petitioner, and I've included a subset of  
8 that in these [REDACTED].

9 And so my understanding is that through  
10 that declaration and the complaint, that these  
11 [REDACTED] are pertinent to the '479.

12 Q. Okay. Do you have an opinion on whether  
13 the [REDACTED]

14 [REDACTED] used the technology  
15 claimed in the '479 patent?

16 A. That would be my understanding, based on  
17 the source of the selection of these [REDACTED].

18 Q. Even though these [REDACTED]  
19 [REDACTED] the '479 patent issued?

20 A. The '479 -- where is it?

21 The '479 dates back to a provisional  
22 application filed June 13th, 2013. So this is  
23 July 2013. I think the invention and the priority  
24 date I've been using for my analysis of the  
25 invention is consistent with -- with this

47 (Pages 182 to 185)

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1 information.

2 Q. So you said that you had an  
3 understanding, based on the source of these [REDACTED],  
4 [REDACTED] practiced  
5 the technology claimed in the '479 patent.

6 What do you mean by that?

7 A. I mean paragraph 123.

8 "I reviewed emails and documents dating  
9 from 2012 through 2017, as well as the  
10 Declaration of [the head of Corephotonics]  
11 [that describes Corephotonics' business, its  
12 licensing history, the relevant facts ... and  
13 several documents that corroborate the  
14 allegations in Patent Owner's Complaint. I  
15 have attached a small selection of those  
16 communications and documents [in these  
17 bullets]."

18 And so it's the corroboration of these  
19 discussions and those other materials that lead me  
20 to believe that these are relevant for the '479.

21 Q. So the fact that '479 put it in its  
22 complaint says -- or is enough for you to conclude  
23 that these images shared in 2013 practiced the  
24 '479 patent?

25 MR. LINK: Objection. Mischaracterizes

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1 testimony.

2 A. I'm saying that there's a corroboration  
3 there that all of these are -- support each other,  
4 including the declaration of Eran Kali, the head of  
5 Corephotonics. That declaration was signed under  
6 the same circumstances that I signed my own  
7 declaration.

8 Q. How many patents are asserted in the  
9 complaint that you reviewed?

10 A. I don't recall. I just looked at the  
11 fact that the '479 was -- was -- was in there, that  
12 these were appropriate documents for the '479.

13 Q. Well, does that complaint assert more  
14 than just -- more patents than just the '479?

15 A. I don't recall.

16 Q. So you also mentioned in the second  
17 bullet on page 57 that [REDACTED]  
18 [REDACTED]  
19 [REDACTED]

20 A. Yes.

21 Q. Are those different [REDACTED]?  
22 [REDACTED]

23 A. I don't think -- I don't think it  
24 indicates whether they're the same or different.

25 Q. Did you review the [REDACTED]

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1 [REDACTED]?

2 A. No. I just examined this [REDACTED]  
3 describing Apple's reaction to [REDACTED].

4 Q. Other than the fact that this [REDACTED] was  
5 described in Corephotonics' complaint, do you have  
6 any other reason to believe that the [REDACTED]  
7 [REDACTED] practiced the  
8 technology claimed in the '479 patent?

9 A. I believe that those [REDACTED] practiced  
10 the invention, as provided to me through, in part,  
11 the declaration of Eran Kali, which would have been  
12 signed under the same -- with the same assurances  
13 that I signed my own declaration.

14 Q. So you did not do an independent  
15 analysis to determine whether these [REDACTED]  
16 [REDACTED] practiced the 47 -- or were created  
17 using the '479 claimed technology, correct?

18 MR. LINK: Objection. Compound. Vague.

19 A. Not beyond what I've described in these  
20 paragraphs.

21 Q. I want to go back to the bullet on  
22 page 68 of your Declaration that mentions a [REDACTED]  
23 [REDACTED].

24 Do you see that?

25 A. Yes, I see that.

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1 Q. What do you mean by [REDACTED]  
2 [REDACTED]?

3 A. I mean that the complainant -- the  
4 complaint states that in paragraph 33 that the  
5 relevant software was provided by Corephotonics to  
6 Apple. [REDACTED]  
7 [REDACTED].

8 And --

9 Q. What is that? I'm sorry. Go ahead.

10 A. I didn't have anything further.

11 Q. Okay. What goes the -- what does the  
12 term "black box" mean there?

13 A. "Black box" means that you can see the  
14 effects, but not the process.

15 Q. Okay. So in paragraph 126 of your  
16 Declaration, you cite to several online articles and  
17 a Tweet, I think, as evidence of industry praise for  
18 Corephotonics' technology, right?

19 A. Yes.

20 Q. Okay. Which of these cited materials in  
21 paragraph 126, if any, specifically discuss the  
22 '479 patent?

23 A. As I mentioned before, with industry  
24 articles, these are press releases, Tweets, and  
25 there are news articles. They talk about the

48 (Pages 186 to 189)

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1 technology in more general terms than attributing  
2 any particular technology to a particular patent,  
3 but they do provide evidence of the utilization of  
4 technology that would have been described by the  
5 '479.

6 Q. Which specific technology did those  
7 articles describe that relates to the technology  
8 claimed in the '479 patent?

9 A. I don't think that opinion goes into  
10 that level of detail. I believe that it was focused  
11 on general advancements in the area of mobile phone  
12 tech -- photography technology. And, you know, that  
13 leadership is further evidenced and connected to the  
14 '479, for example, by Apple's interest.

15 Q. But as we discussed earlier, you don't  
16 have any information to suggest that Apple's  
17 interest was specific to the '479 patent, do you?

18 A. I thought we just spent quite a bit of  
19 time explaining that I do believe Apple's interest  
20 was related to the '479, based on the -- how these  
21 [REDACTED] were compiled and provided to me. And they  
22 corroborate the other information, as provided  
23 through other declarations.

24 Q. But, again, you don't know whether the  
25 complaint that references these documents is limited

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1 to assertion of the '479 patent, right?

2 A. The --

3 MR. LINK: Objection. Vague.

4 A. The complaint includes the '479 patent  
5 -- the Declaration includes the '479 patent.

6 These [REDACTED] were pertinent to the '479  
7 patent, as provided through these materials,  
8 including their complaint, which would have been  
9 signed under the same conditions I signed my own  
10 declaration.

11 Q. Okay. You have an understanding that  
12 all of these materials are related to the  
13 '479 patent, based on Mr. Kali's declaration, but,  
14 again, you haven't done any independent analysis to  
15 analyze whether the information provided in these  
16 [REDACTED] actually related to the '479 patent,  
17 correct?

18 MR. LINK: Objection. Asked and  
19 answered.

20 A. The analysis I performed is based on my  
21 materials considered using the techniques described  
22 in these paragraphs. I have not performed any  
23 analysis beyond what I've described.

24 Q. Okay. In paragraph 130 of your  
25 Declaration, you talk about the failure of others to

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1 successfully address problems stated in the  
2 '479 patent using "position matching and image  
3 registration to reduce the image jump effect," right?

4 A. Yes, I see that.

5 Q. Does the '479 patent talk about the  
6 image jump effect?

7 A. So as I mentioned before, claim 1 talks  
8 about image fusion and specifically being able to  
9 fuse the images from the Wide and Tele image sensor  
10 into a point of view from the Wide sensor.

11 And by using the methods in '479, for  
12 example, registration based on rectification, that  
13 would reduce or eliminate any jump effect when  
14 switching from a Wide image to a Tele image by using  
15 a fused image as a transition.

16 Q. Can you point me to any specific  
17 portions of the '479 specification that talk about  
18 image jump effect?

19 A. I don't recall the term "image jump  
20 effect" being used in '479. But image jump effect  
21 is one of the ways that -- that an end user would  
22 describe technology that didn't, for example, use  
23 the invention of providing the '479; namely, image  
24 fusion and fusing a Wide and Tele image into a  
25 resulting image from the Wide point of view.

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1 Q. Are you familiar with a company whose  
2 name I think is pronounced Linx, but it's spelled  
3 L-I-N-X?

4 A. I don't recall that from these opinions,  
5 and I don't believe I've encountered that company  
6 before.

7 Q. Okay. So let's go back to the  
8 '479 patent and --

9 MR. LINK: Stephanie, really quickly, is  
10 your realtime working for you?

11 MS. SIVINSKI: No.

12 MR. LINK: Okay.

13 THE VIDEOGRAPHER: The time is  
14 3:55 p.m., and we're going off the record.

15 (Recess taken.)

16 THE VIDEOGRAPHER: The time is  
17 4:07 p.m., and we're back on the record.

18 BY MS. SIVINSKI:

19 Q. Okay. Ready, Dr. Hart? We have a  
20 couple more questions to go over.

21 So I want you to turn back to the '479  
22 patent and then specifically to pages 2 and 3.  
23 There's a section called "References Cited," and  
24 it's very long.

25 Do you see that?

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1 A. Yes.

2 Q. Okay. And there's a heading that says  
3 "US Patent documents," and it actually extends onto  
4 page 4 of the '479 patent.

5 Do you see that?

6 A. Yes.

7 Q. Do you know whether any of the cited  
8 U.S. patents in this list of references cited are  
9 assigned to Apple?

10 A. I did not look into that question, no.  
11 I didn't know which of these patents is assigned to  
12 Apple.

13 Q. Okay. So in paragraph 134 of your  
14 Declaration, you say that:

15 "The fact that some Apple patents cite  
16 the '291 patent" -- which belong to  
17 Corephotonics -- "is evidence that 'Apple has  
18 built its own camera and image processing  
19 technology based on the technology at issue  
20 in the '479 patent."

21 Did I read that correctly?

22 A. Yes. That Petitioner's own camera and  
23 image processing patents cite the '291, which  
24 the '479 claims priority. Okay.

25 Q. Right.

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1 Okay. So I'll read the full paragraph  
2 again instead of paraphrasing. Maybe that'll make a  
3 clear record. So paragraph 134 of your Declaration  
4 says that:

5 "Numerous of Petitioner's own camera  
6 and image processing patents cite to the  
7 '291 patent (to which the '479 patent claims  
8 priority), as I previously explained. This  
9 suggests Apple has built its own camera and  
10 image processing technology based on the  
11 technology at issue in the '479 patent."

12 Did I read that correctly?

13 A. Yes.

14 Q. Okay. So I'll represent to you that  
15 nine documents in the list of references cited under  
16 "U.S. Patent Documents" in the '479 patent belong to  
17 Apple.

18 A. Okay.

19 Q. Do you also draw the conclusion that the  
20 fact that Corephotonics' patent cite Apple's patents  
21 means that Corephotonics has built its own camera  
22 and imaging processing technology based on the  
23 technology that Apple has patented?

24 MR. LINK: Objection. Vague.

25 A. I did not provide an opinion on that.

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1 That didn't seem to be at issue. What was at issue  
2 was the obviousness arguments that Professor --  
3 Dr. Durand had provided regarding the '479.

4 And I was -- paragraph 134 is showing  
5 that numerous patents cite the '291 and the '479,  
6 and that -- that goes to the invention described in  
7 the '479, in terms of the secondary considerations  
8 and copying and failure of others.

9 New patents are building off of the '479  
10 and the preceding '291. And so that speaks to the  
11 originality and the level of invention provided in  
12 those patents.

13 Q. So does the fact that Corephotonics'  
14 '479 patent cites Apple technology change your  
15 conclusion, any of the conclusions in your  
16 Declaration?

17 A. No, it does not.

18 Q. Okay.

19 MS. SIVINSKI: I don't have any further  
20 questions for you, Dr. Hart. So I will turn it over  
21 to Mr. Link.

22 MR. LINK: Yeah. Let me -- why don't we  
23 take a quick break, and let me look at my notes and  
24 see if I have any questions. So let's just take a  
25 ten-minute break.

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1 MS. SIVINSKI: That's good.

2 Off the record.

3 THE VIDEOGRAPHER: Okay. The time is  
4 4:12 p.m., and we're going off the record.  
5 (Recess taken.)

6 THE VIDEOGRAPHER: The time is  
7 4:16 p.m., and we're back on the record.

8 MR. LINK: We, Corephotonics, does not  
9 have any questions either. So we'll just read and  
10 sign and go from there.

11 MS. SIVINSKI: Sounds good.

12 Thank you, Dr. Hart. I appreciate your  
13 time today.

14 THE VIDEOGRAPHER: Okay. The time is  
15 4:17 p.m., and that concludes today's deposition.

16 Thank you, everyone.

17 THE REPORTER: And, Counsel, do we have  
18 any specific orders? Who needs a rough draft? Any  
19 expedited delivery?

20 MR. PARSONS: Haynes and Boone would  
21 like a rough draft.

22 MR. LINK: For Corephotonics, we don't  
23 need a rough draft, and just regular delivery is  
24 fine for us.

25 (Deposition concluded at 4:17 p.m. CST)

50 (Pages 194 to 197)

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CASE NAME: Apple v Corephotonics

## ACKNOWLEDGMENT OF DEPONENT

I, JOHN C. HART, Ph.D., declare under penalty of perjury, and hereby certify, that I have read the foregoing pages of my deposition transcript or the same has been read to me, and that the same is a correct transcription of the answers given by me on April 29, 2021 as to the questions therein propounded, except for the corrections or changes in form or substance, if any, noted in the attached Errata Sheet, with the understanding that I offer these changes as if still under oath.

DATE

SIGNATURE

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## ERRATA SHEET

NAME OF CASE: APPLE v COREPHOTONICS

DATE OF DEPOSITION: April 29, 2021

NAME OF WITNESS: JOHN C. HART, Ph.D.

Reason Codes:

1. To clarify the record.
2. To conform to the facts.
3. To correct transcription errors.

Page \_\_\_\_ Line \_\_\_\_ Reason \_\_\_\_

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## REPORTER'S CERTIFICATE

I, MAYLEEN AHMED, the undersigned, a Registered Merit Reporter, Certified Realtime Reporter, Certified Shorthand Reporter, and Notary Public, do hereby certify:

That the witness, JOHN C. HART, Ph.D., before examination was remotely duly sworn.

That the foregoing deposition was taken remotely stenographically by me on April 29, 2021, and thereafter was transcribed by me, and that the deposition is a full, true, and complete transcript.

I further certify that I am not a relative or employee of any attorney or counsel or any party to this action, and that I am not financially interested in the said action or the outcome thereof.

In WITNESS WHEREOF, I have hereunto set my hand this 6th of May, 2021.

/s/ MAYLEEN AHMED, RMR, CRR, CRC  
 Washington CCR No. 3402 - Exp 12/29/21  
 Oregon CSR No: 17-0447 - Exp 12/31/23  
 Texas CSR No: 9428 - Exp 7/31/21  
 California CSR No: 14380 - Exp 12/31/21  
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